



**Implementation of a Joint Call of Programme Owners and  
Programme Managers from EU Member States, Countries  
Associated to the 7<sup>th</sup> EU RTD Framework Programme and Russia**

**Terms of Reference  
Joint Call on ‘Science and Technology’**

31 March 2015

**CONTENT**

|  |    |
|--|----|
| List of Abbreviations                              | 1  |
| 1. Background Information                          | 2  |
| 2. Joint Call                                      | 4  |
| 3. Eligibility of Proposals                        | 7  |
| 4. Allowable Project Costs                         | 8  |
| 5. Submission of Proposals                         | 8  |
| 6. Evaluation and Selection of Proposals           | 13 |
| 7. Decision Making by the Group of Funding Parties | 22 |
| 8. Project Contracts and Project Monitoring        | 23 |
| Annex I: National Rules of Funding Parties         | 25 |

## List of Abbreviations

|     |                                      |
|-----|--------------------------------------|
| AC  | Associated Countries                 |
| CA  | Consortium Agreement                 |
| CP  | Contact Point                        |
| EC  | European Commission                  |
| EU  | European Union                       |
| FP  | Funding Party                        |
| GFP | Group of Funding Parties             |
| IA  | Implementation Agreement             |
| IPR | Intellectual Property Rights         |
| JCS | Joint Call Secretariat               |
| MS  | Member States                        |
| R&D | Research & Development               |
| RTD | Research, Technology and Development |
| SC  | Scientific Council                   |
| JC  | Joint Call                           |
| SSH | Social Sciences and Humanities       |
| ToR | Terms of Reference                   |

## 1. Background Information

**ERA-NET PLUS Actions** comprise the joint implementation of a transnational call from the preparation and the publication of the call to the evaluation, selection and funding of proposals as well as the project monitoring and follow-up between national or regional programmes. They require programme owners from different EU Member States (MS) or Associated Countries (AC) to the EU Research, Technology and Development (RTD) Framework Programme to implement a **Joint Call (JC)** focussing on projects in Science & Technology (S&T projects). The financial commitments from the participating national or regional research programmes are the basis of the joint call. In addition, the European Commission (EC) grants a top-up to the national contributions for the funded projects.

Building on the successful forerunner FP7 **ERA.NET RUS** project (2/2009 to 01/2014) and on the mutual interest of programme owners and programme managers in the Russian Federation, EU MS and AS, a **Group of Funding Parties (GFP)** has been established.

A **Steering Committee**, comprising representatives from each Funding Party (FP) will be responsible for defining the principles of the Joint Call on Science and Technology as well as for the steering, decision making and monitoring of its implementation. The members of the Steering Committee are to be nominated directly by their FP – one member per FP. The Steering Committee will oversee the preparation and implementation of the SJC. An important right is the nomination of experts for the **Scientific Council (SC)**, the scientific advisory body responsible for the evaluation process of the proposals to be submitted.

The members of the GFP will be supported by a **Core Consortium** led by its **Coordinator**. The Core Consortium will facilitate the preparation process of the Joint Call and assist the operational management of its implementation. The members of the Core Consortium will be responsible for the Work Packages of ERA.Net RUS PLUS and the underlying tasks. Members of the Core Consortium are:

1. Project Management Agency c/o German Aerospace Center, European and International Cooperation, PT-DLR, Germany (Coordinator)
2. National Centre for Scientific Research, CNRS, France
3. Russian Foundation for Basic Research, RFBR, Russia
4. Centre for Social Innovation, ZSI, Austria
5. Higher School of Economics, HSE, Russia

PT-DLR acts as coordinator for the ERA.Net RUS Plus. The role of the coordinator is to manage administrative, financial and communication issues related to the project. Furthermore, the coordinator will act as interface to receive and distribute the financial contribution of the European Union to the partners. The members of the Core Consortium will provide various services for the GFP. To facilitate ERA.Net RUS Plus with competences in the field of monitoring and impact assessment, ZSI and HSE will be included as members of the Core Consortium, but they will not act as FPs in the call. The Core Consortium will provide administrative services for supporting the whole process starting with preparing and launching a

competitive call for proposals for collaborative S&T projects and lasting till the final selection of projects. Those have to be jointly selected by the GFP to be followed by the monitoring of national contract negotiations with the beneficiaries and the proceeding funding period of the collaborative projects. Details of the implementation of the Joint Call on Science & Technology have been agreed upon by all GFP members in an **Implementation Agreement (IA)** with these Terms of Reference (ToR) being an integral part thereof.

The GFP will be assisted by a **Joint Call Secretariat (JCS)** assumed by three of the Core Consortium partners (PT-DLR, ICISTE<sup>1</sup>, RFBR). The mandate of the JCS is defined by the GFP and the Steering Committee through the Implementation Agreement and the Terms of Reference - Joint Call Secretariat.

The GFP will launch the first step of the Joint Call on S&T on 25.06.2014 to be closed strictly on 25.09.2014. The call will follow a two-step submission and evaluation procedure. In a first stage, applicants will be asked to submit pre-proposals. Depending on the results of the evaluation of pre-proposals, successful applicants will be invited to submit a full proposal in a second stage.

With this Joint Call on Science & Technology interested project consortia including partners from the participating countries will be invited to submit S&T projects.

## Programme Owners

The following **programme owners** have signed an Implementation Agreement for the Joint Call on Science & Technology in their capacity of Programme Owners/Managers, thus confirming their participation as Funding Parties, and their readiness to pledge financial contributions for funding the projects selected through the call:

1. Austrian Science Fund, FWF (Austria)
2. Research Foundation – Flanders, FWO (Belgium)
3. Estonian Research Council, ETAg (Estonia)
4. Academy of Finland, AKA (Finland)
5. Ministry of National Education, Higher Education and Research, MENESR (France)
6. French Embassy in the Russian Federation, AMBAFRAN (France)
7. National Institute for Agricultural Research, INRA (France)
8. National Centre for Scientific Research, CNRS (France)
9. Federal Ministry of Education and Research, BMBF (Germany)
10. Latvian Academy of Sciences, LAS (Latvia)
11. Centre for International Projects, CIP (Moldova)
12. The National Centre for Research and Development, NCBR (Poland)
13. Executive Agency for Higher Education, Research, Development and Innovation Funding, UEFISCDI (Romania)
14. Far Eastern Branch of the Russian Academy of Sciences, FEB RAS (Russia)
15. Ministry of Education and Science of the Russian Federation, MON (Russia)
16. Russian Foundation for Basic Research, RFBR (Russia)

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<sup>1</sup> Programme manager acting on behalf of the Russian Ministry of Education and Science (MON)

17. Russian Foundation for Humanities, RFH (Russia)
18. Ural Branch of the Russian Academy of Sciences, UB RAS (Russia)
19. Slovak Academy of Sciences, SAS (Slovakia)
20. Swiss National Science Foundation, SNF (Switzerland)
21. Scientific and Technological Research Council of Turkey, TÜBİTAK (Turkey)

## 2. Joint Call

### 2.1 Call Topics and Scope

Research proposals regarding the following S&T topics and subtopics can be submitted:

#### 1. Nanotechnologies

- 1.1 Advanced nano-sensors for environment and health
- 1.2 Novel functional nanomaterials based on design and modelling
- 1.3 Nanomaterials for efficient lighting

#### 2. Environment/Climate change

- 2.1 Increasing the reliability of regional climate projections: models and measurement
- 2.2 Environmental impact and risk of raw materials extraction and transportation
- 2.3 Extreme climate events and their impact on the environment

#### 3. Health

- 3.1 Molecular mechanisms of brain function and pathology
- 3.2 Regenerative medicine and biomaterials
- 3.3 Drug discovery for cancer, cardiovascular and infectious diseases

#### 4. Social Sciences and Humanities

- 4.1 Understanding conflict, identity, and memory: past and present
- 4.2 Demographic change, migration and migrants
- 4.3 Opportunities for and challenges to regional development and social cohesion

By **S&T projects** are meant joint Research and Development (R&D) projects undertaken by a partnership of institutions (“consortium”) designed to produce new knowledge through fundamental, experimental and/or theoretical scientific research, whereby each team of the partnership actively pursues specific objectives with a view to pooling the results to contribute to common, well-defined objectives.

The goal of the call is to create long-term research collaboration in the fields of research and innovation between EU MS/AC and Russia.

## 2.2 Call Budget and Call Modalities

The national financial contributions of the GFP will be topped up by the EU (EU topping-up). Up to 3.5 million EUR will be provided by the EU for the Innovation and the S&T call.

Related information as regards the indicative financial contribution to the call budget by each of the Funding Parties can be found in the following table:

| <b>FUNDING PARTY</b>  | <b>Indicative Contribution<sup>2</sup><br/>(EUR)</b> | <b>Nanotechnologies</b> | <b>Environment/<br/>Climate Change</b> | <b>Health</b> | <b>Social Sciences and<br/>Humanities</b> |
|---|--|-------------------------|--|---------------|---|
| 1. Austrian Science Fund, FWF (Austria)   | 750.000  |                         |  |               | X   |
| 2. Research Foundation – Flanders, FWO (Belgium)  | 800.000  | X                       | X                                      | X             | X   |
| 3. Estonian Research Council, ETAg (Estonia)  | 100.000  | X                       | X                                      | X             | X   |
| 4. Academy of Finland, AKA (Finland)  | 1.000.000  |                         | X                                      |               | X   |
| 5. Embassy of France in the Russian Federation, AMBAFRAN  | 80.000   | X                       | X                                      | X             | X   |
| 6. Institute for Agricultural Research, INRA (France)   | 80.000   |                         | X                                      |               |   |
| 7. Ministry of National Education, Higher Education and Research, MENESR (France)                 | 500.000  | X                       | X                                      | X             | X   |
| 8. National Centre for Scientific Research, CNRS (France)   | 35.000   | X                       | X                                      | X             |   |
| 9. Federal Ministry of Education and Research, BMBF   | 1.000.000  | X                       | X                                      | X             | X   |
| 10. Latvian Academy of Sciences, LAS (Latvia)   | 300.000  | X                       | X                                      | X             | X   |
| 11. Centre for International Projects, CIP (Moldova)  | 200.000  | X                       | X                                      | X             | X   |
| 12. The National Centre for Research and Development, NCBR (Poland)                               | 700.000  | X                       | X                                      | X             |   |
| 13. Executive Agency for Higher Education, Research, Development and Innovation Funding, UEFISCDI | 660.000  | X                       | X                                      | X             | X   |
| 14. Ministry of Education and Science of the Russian Federation, MON (Russia)                     | 180.000.000<br>Rubles <sup>3</sup>                   | X                       | X                                      | X             |   |
| 15. Russian Foundation for Basic Research, RFBR (Russia)  | 600.000  | X                       | X                                      | X             |   |
| 16. Russian Foundation for Humanities, RFH (Russia)   | 250.000  |                         |  |               | X   |
| 17. Far Eastern Branch of the Russian Academy of Sciences, FEB RAS (Russia)                       | 210.000  | X                       | X                                      | X             | X   |
| 18. Ural Branch of the Russian Academy of Sciences, UB RAS  | 200.000  | X                       | X                                      | X             | X   |
| 19. Slovak Academy of Sciences, SAS (Slovakia)  | 200.000  | X                       | X                                      | X             | X   |
| 20. Swiss National Science Foundation, SNF (Switzerland)  | 800.000  | X                       | X                                      | X             | X   |
| 21. Scientific and Technological Research Council of Turkey, TÜBİTAK (Turkey)                     | 780.000  | X                       | X                                      | X             | X   |

<sup>2</sup> The indicative financial contribution of each of the Funding Parties listed is confirmed by written statements.

<sup>3</sup> MON: 2015 – up to 60 million rubles; 2016 – up to 60 million rubles; 2017 - up to 60 million rubles

The Joint Call on S&T will be implemented through a **coordinated funding scheme** whereby each FP will fund its own teams within a multilateral project also known as a **virtual common pot**, with a view to harmonize the funding contributions in order to guarantee the funding of as many as possible of the projects selected through a peer-review process.

The funding of projects will depend on the nature and duration of the proposed activities and must be justified in terms of the resources needed to achieve the objectives of the project. The funding requested should therefore be realistically adjusted to the actual needs of the project, taking into account any other funds available. Each FP may adjust a project's budget if deemed necessary.

In case a project partner cannot receive funding from its national funding organisation in a selected project, this specific project will not be retained for funding, unless: (1) this partner agrees to fund its total share on its own budget and: (2) the remaining project consortium is ready and capable to take over the activities of this partner and consists of at least three partners funded by their respective FP. However, there must be at least one Russian partner in each consortium funded by a Russian FP.

A partner participating on its own budget **may not** be the coordinator of the project.

### 2.3 Eligible Applicants and Project Consortium

Applicants must be eligible for funding by their respective national FP. They can represent:

- Research and higher education entities
- Research performing small and medium enterprises
- Other entities eligible for funding according to respective national legislation.

Details can be found in the **National Rules of Funding Parties** (Annex I).

A **project consortium** must comprise project partners eligible for funding from at least three different countries, of which at least one team eligible for funding from Russia. Each project partner will be represented by a team leader. One of the team leaders must be designated as "Coordinator".

Applicants who are non-eligible for funding could join any eligible consortium submitting a proposal in the context of this call, however, on their own expenses. This is only valid for cases where the ineligible applicant does not act as coordinator of the project.

### 2.4 Duration

The duration of a project needs to be realistically aligned with the activities to be implemented by the project consortium.

The **minimum duration of a project is 24 months, and should not exceed 30 months**. A project can be extended up to 30 months only on the condition that all FPs involved allow it (Annex I). The duration of a project is to be determined at the proposal stage. An extension of duration will not be allowed once the project is started.

### 3. Eligibility of Proposals

In order to be eligible, proposals must:

- Be in the scope and in the thematic focus of the call (as described in Section 2)
- Meet the consortium composition requirements (as specified in Section 2)
- Be submitted by at least three applicants from three different countries (of which at least one from Russia) which are eligible to receive funding from their national members of the GFP (as specified in Section 2)
- Comply with the allowed duration (as specified in Section 2)
- Comply with the funding requirements (as specified Section 2)
- Comply with the terms of the submission procedure (as specified in Section 5)
- Be complete according to the rules described in these ToR
- Be submitted in the English language only
- Be submitted through the online submission system PT-OUTLINE
- Meet the submission deadline (as specified in section 5)

**Only proposals meeting all eligibility criteria are processed by the Joint Call Secretariat. Non-eligible proposals will be rejected.**

The JCS will ask the FPs to check and confirm the eligibility of applicants participating in a project consortium according to their national regulations.

With this respect, the “**National Rules of all Funding Parties**” will be available in **Annex I**.

**Contact Points:** In order to ensure a smooth implementation of the SJC, each FP appoints a contact person acting as Contact Point (CP). Applicants are strongly advised to contact their CP in due time before proposal submission in order to check their eligibility on national level.

Contact details are listed on <http://www.eranet-rus.eu/en/201.php>.

### 4. Allowable Project Costs

Allowable project costs are grouped in categories as described below. They might differ for individual partners in a given project consortium depending on national regulations of their respective Funding Party (Annex I).

- **Labour costs**

Participating individual partners may claim for labour costs according to the internal rules of their respected institution, respecting regulations as defined by the responsible national Programme Owner.

- **Operational costs**

*Travel and Subsistence*

- National and international travel at the most economic fare available, accordingly with national regulations.



- Visa and social security or other insurance costs relating to the travel period.
- Accommodation and other subsistence costs according to the internal rules of the participant's organization, respecting regulations as defined by the responsible national Programme Owner.

### ***Equipment***

- Any participating partner may purchase equipment respecting national procurement rules and procedures.
- All equipment costs financed by the grant must be relevant to equipment purchased or manufactured after the commencement date of the project contract.
- Costs for infrastructure, operation, transportation, etc. required for the project.

### ***Consumables***

- Costs of materials or goods, including those required for repair or maintenance of equipment.

### ***Other costs***

- Costs for publication, dissemination and patenting etc. which cannot be classified under the previous cost items but are required for the project implementation.
- Management, organisational and subcontracting costs required to run the project.

## **5. Submission of Proposals**

### **5.1 Submission process**

#### **5.1.1 General Information**

The process of submitting, evaluating and selecting projects will be done in a **2-step procedure**. In a first step, applicants will submit a short **pre-proposal** (section 5.1.2). In a second step, successful applicants will be invited to submit a **full proposal** (section 5.1.3). All proposals must be written **in English only**.

#### **5.1.2 Structure of pre-proposal**

##### **GENERAL INFORMATION**

##### **Proposal Details**

- Title and acronym.
- Topic and sub-topic of the call to which the submitted proposal refers.
- Keywords: Identify the keywords selected from a keyword list (in PT-Outline).
- Free words: Supply additional free words to further specify your scientific subject.
- Duration: Minimum 24 months, up to 30 months.
- Total cost: Estimated overall budget of the project (in EUR).

##### **Summary**

## TEAM INFORMATION

For each team, the following information should be given:

- Legal Name
- Organisation Short Name
- Description of Organisation
- Team Leader and address
- List of publications (maximum 5 publications per partner)

## ESTIMATED FUNDING REQUESTED BY PARTICIPANTS

For each team, give the estimated and overall costs for the total duration of the project.

## OBJECTIVES

- Background and state of the art in the field
- Scientific objectives
- Added value of the proposed consortium and innovation potential
- Market and economic impact
- Societal impact

### 5.1.3 Structure of full proposal

#### GENERAL INFORMATION

##### Proposal Details

- Title and acronym
- Topic and sub-topic of the call to which the submitted proposal refers.
- Keywords: Identify the keywords selected from the keyword list.
- Free words: Supply additional free words to further specify your scientific subject.
- Starting date: Summer 2015 (expected).
- Duration: Minimum 24 months, up to 30 months.
- Total cost: Estimated overall budget of the project (in EUR).
- Participation of any research team of this proposal in any other proposal in this joint call.

##### Summary

Summarise the objectives, give a short description of the research activities and expected results of the project and market and economic impact as well as societal impact.

## **Background and Research Objectives**

Give a detailed justification of the objectives of the project against the state-of-the art in the scientific area of the project:

- Describe as precisely as possible the scientific and research objectives of the project. Whenever possible, quantify the objectives in terms of measurable outcomes.
- Give the scientific and technological basis for your project and describe the present state-of-the-art concerning the specific R&D topics of your project. Identify important gaps to be filled in the current knowledge/know-how.
- Explain the novel character of the research proposed. Show how the objectives of the project aim at significant advances in the state-of-the-art through extending the current knowledge and/or filling the gaps identified.
- Has a novelty search already been performed or is this search planned? If relevant, specify the institution with which the novelty search has been performed and indicate the result.
- Explain the relevance and importance of the research project proposed, in terms of concrete applications (scientific, technological and innovative) and in terms of economic and societal impact.
- If relevant, highlight the multidisciplinary character of the project, whereby the activities in the project will tend to draw on a range of scientific disciplines and explain how this interdisciplinarity is going to be exploited.
- If the proposal is part of a larger national or international project, explain its precise role and how it fits into this wider context.
- Explain the gain in competitiveness and the added value resulting from the cooperation between the partners of the consortium.

## **Project Description**

Give an overall description of the research project. This includes justifying the methodology chosen to reach the objectives, highlighting the particular advantages of the methodology and quantifying the expected project results.

- Explain where there is a potential for synergy effects between different tasks of the project and how this is going to be exploited.
- Explain which part of the R&D activities will be subcontracted to R&D institutions or companies (if relevant).
- Give references of relevant scientific publications (maximum 5 publications per partner).
- Give references concerning market and economic needs.

## TEAM INFORMATION

- Identify the participating teams and the institutions to which they belong.
- Identify the Project Coordinator and the team leaders.

For each team, the following information should be given:

- Team Details:
  - Give the total number of team members. The size of each team should be limited to those people actually needed for performing the tasks.
  - Describe the background and particular expertise of the team against the tasks to be performed. Describe how the teams complement each other in the performance of the project.
  - If relevant, a maximum of five references of relevant, recent scientific publications, patents which best show the capability of the research team to perform the work proposed. Indicate for each the name of the authors, the title of the article, the journal or other publication, the date and place of issue. If a publication exists on a website, give its address.
  - Describe the relevant instrumentation and infrastructure available in view of the tasks assigned to the team.
- Contact details of the Project Coordinator and each team leader.

## PROJECT MANAGEMENT

- Describe how the overall coordination, monitoring of the project will be implemented. Provide a project organisation chart. Indicate the decision schemes foreseen in the project (decision boards, coordination meetings).
- If appropriate set up a detailed diagram (e.g. Gantt-Chart) showing the work plan of the project: the time schedule of the tasks and mark their interrelations; milestones and/or decisions on further approach will have to be made; indicate a critical path marking those events which directly influence the overall time schedule in case of delays.
- Explain how information flow and communication will be enhanced within the project (e.g. collaboration and task meetings, exchange of scientists).
- Risk management: Indicate where there are risks of not achieving the objectives and fall-back positions, if applicable.
- Describe how ethical considerations connected to the project will be addressed.

## BREAKDOWN OF COSTS

- For each team, give the cost breakdown and a brief justification for all allowable costs.

## IMPACT OF THE PROJECTS

- Describe the expected results of your project and the utilization potential.
- Describe the expected impact of the research project results in terms of market, economical and societal needs of Russia and the EU.
- Sketch out a result exploitation plan which explains:
  - how the new knowledge generated through the project and other deliverables of the project such as data bases, problem solving concepts, computer codes, technical solutions etc.) will be exploited;
  - if relevant: how innovative technologies/concepts will be further exploited through an implementation plan for the projects' results;
  - if relevant: how results will be transferred to market and commercial users;
  - how intellectual property, including foreground knowledge, patents, copyrights, license agreements and any other arrangements will be managed.

## 5.2. How to use the online submission system “PT-Outline”

### 5.2.1 Registration

In order to submit a Pre-Proposal or a Full-Proposal, the project coordinator should access the online submission system through the link:

[https://secure.pt-dlr.de/ptoutline/RUS\\_ST2014](https://secure.pt-dlr.de/ptoutline/RUS_ST2014)

|  |
|--|
| <p><b>Only submissions through the online submission system “PT-Outline” will be accepted. Proposals sent by post, e-mail, telex or facsimile will be rejected without notice.</b></p> |
|--|

When accessing the online submission system the first time, the project coordinator will be requested to enter her/his e-mail address. In return s/he will receive by e-mail a **user ID** and a **password**. Her/his account will be activated after receiving the password.

### 5.2.2 Access to the online submission system

By using the **password** all partners in the consortium are able to execute their own part of the project proposal submission and to replace the proposal partly or fully with an updated version.

**Proposal submission just before the deadline should be avoided.** High internet traffic during the last days before the submission deadline of the Call may make the access difficult. Inability to submit a proposal due to overload of the PT-Online system should not be considered

as a reason for later submission or submission via email etc. All members of the Consortium will be provided access to the online submission system.

### 5.2.3 Acknowledgement of receipt and registration number

After final submission of the proposal, the project coordinator will automatically receive an acknowledgement of receipt with the proposal's registration number by e-mail.

#### Submission Deadline

**The deadline for submission of a 'pre-proposal' will be 25.09.2014, 17:00 (CET) equivalent to 19:00 Moscow time.**

All proposals must be submitted by the project coordinators before the submission deadline. As access to the online submission system will be automatically closed after the deadline, no proposal submission will then be possible. It is thus the sole responsibility of a project coordinator to ensure that the proposal is submitted before the deadline.

The **deadline for the submission of full proposals** will be announced to those Consortia with successful pre-proposals.

## 6. Evaluation and Selection of Proposals

Proposals submitted under the Joint Call on S&T will be evaluated as follows:

### 6.1. Fundamental principles

The fundamental principles governing the evaluation of project proposals are:

- **Transparency.** The process for reaching funding decisions will be clearly described and available to any interested party.
- **Equality of treatment.** All proposals shall be treated alike, irrespective of where they originate or the identity of the proposers.
- **Ethical considerations.** Any proposal that contravenes fundamental ethical principles may be excluded from being evaluated and selected at any time.

### 6.2. Peer-Review Procedure

The evaluation of proposals will be done in a 2-step procedure.

In a **first step**, pre-proposals will be evaluated by external expert reviewers leading to a set of selected projects. Successful applicants will be invited to submit full proposals. In a **second step**, a dedicated pool of evaluators consisting of external expert reviewers will assess anonymously the merits of the submitted full proposals.

A **Scientific Council (SC)** consisting of high level scientific experts will be appointed by the

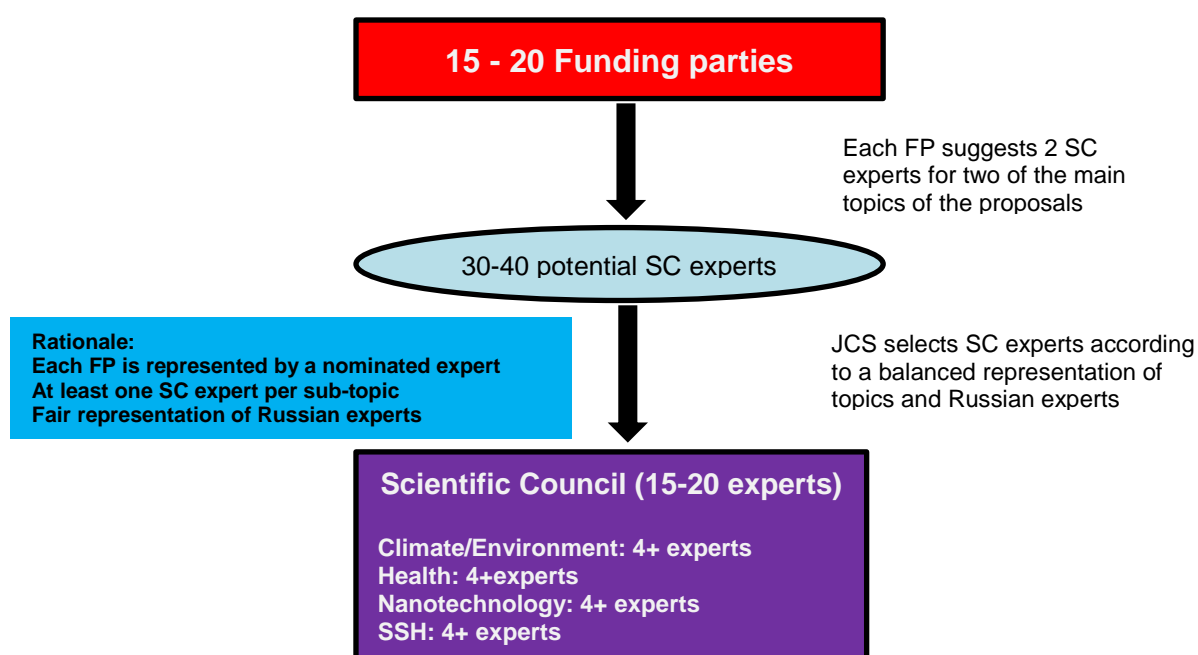
GFP for all issues related to the evaluation procedure, especially to ensure the quality of the outcome from the external peer review. Each FP is invited to nominate two experts, each one with competences relevant to one different call topic.

### 6.2.1 Scientific Council

The SC will consist of about 15 to 20 experts, depending on the number of FP participating. Each FP will be represented in the SC by at least one expert.

From the group of potential SC-experts suggested by the FPs, the Joint Call Secretariat will pre-select the experts for the SC panels according to the following rationale:

- Each FP will be represented by at least 1 nominated expert<sup>4</sup>
- Each sub-topic will be represented by at least 1 SC expert
- A fair representation of Russian experts will be ensured



The JCS will present the list of nominated SC experts to the Steering Committee and to the GFP for their final approval based as closely as possible on the described rationale for the selection. However, some flexibility should be allowed if necessary.

<sup>4</sup> A targeted extension of the SC, e.g. on the basis of representation in sub-topics might be envisaged and decided upon by the GFP at a later stage after the proposals had been submitted.

## **6.2.2 Tasks of the Scientific Council**

The JCS will invite the Steering Committee, the GFP and the Scientific Council in due time to propose new peer-reviewers, and invite the SC members to review and give their opinion on the list of peer-reviewers registered in the EVAL-INCO database. Once necessary due to a lack of expertise or availability of suitable experts given the call timetable, new reviewers will be invited to register in the EVAL-INCO database based on proposals of the SC experts.

The SC members will be involved in the two steps of the peer-review procedure.

### **6.2.2.1 National Eligibility Check**

The “national eligibility check” is performed by each of the FPs (see section 6.3.1).

### **6.2.2.2 Evaluation of pre-proposals**

The JCS will in a first step allocate each of the submitted pre-proposals to three potential external reviewers from the EVAL-INCO database according to matching keywords. The members of the SC will check the matching of pre-proposals to external reviewers, if deemed necessary.

The JCS moderates and manages the evaluation process with the approved external reviewers and puts together a preliminary ranking list for projects on the basis on their scores and written evaluations.

Four dedicated Panels of experts – one per call topic - will be established, consisting of select members of the SC with expertise particularly relevant to each of the thematic areas of priority of S&T Projects.

**A first SC meeting will take place to discuss the ranking list for the pre-proposals eligible for the second submission step.**

Each SC member will act as a key-reader for several pre-proposals. Each Panel will jointly decide on unclear cases due to discrepancies between evaluation results and will represent their joint decision (1 ranking list per call topic, including a reserve list) to the FPs. The FPs will take the final decision on the selected pre-proposals eligible for submitting a full proposal.

### **6.2.2.3 Evaluation of full proposals**

The JCS will allocate the full proposals to three potential external reviewers from the EVAL-INCO database according to matching keywords. The SC will check the matching of proposals to external reviewers, if deemed necessary.

**A second SC meeting will be gathered to discuss the ranking lists for the projects eligible for funding.**

The Panels will present their joint recommendations (one ranking list per topic, including a reserve list) to the FPs. The final decision on the selected projects eligible for funding rests with the GFP.



### 6.2.3. Appointment of Evaluators

The evaluators for the peer-review process are selected from a pool of evaluators (**EVAL-INCO database**) on the basis of their competence, irrespective of their nationality, age and affiliation. The FPs are highly encouraged to review the national experts chosen from the EVAL-INCO database and to invite additional evaluators to register in the database. Keywords and free words specified in the proposal help selecting the most suitable evaluators in the field of the proposal.

**Link to EVAL-INCO:** <https://secure.pt-dlr.de/evalinco/>

In general, evaluators need to have skills and knowledge appropriate to the relevant scientific and technological fields in which they are asked to assist. All evaluators must also have a proven experience in one or more of the following areas or activities:

1. Management or evaluation of S&T projects
2. International cooperation in science and technology; development of human resources
3. Transfer of results of research and technological development projects

Evaluators must also have the appropriate language skills required for the proposals to be evaluated. In case an evaluator finds his/her competences to be irrelevant to the project he/she has been selected to evaluate, he/she must inform the JCS so that the project is allocated to another evaluator.

For each pre-proposal and each full proposal, three independent evaluators of which at least one from Russia and one from an EU-MS/AC, are to be selected by the JCS from a pool of possible evaluators (EVAL-INCO database), with a view to achieve maximum competence for the evaluation. The SC will check the relevance of the allocation of proposals to external evaluators by the JCS and eventually reconsider the proposal by nominating an appropriate expert from the EVAL-INCO database.

### 6.2.4. Conflict of Interest and Confidentiality

The Consortium relies on the integrity of evaluators to base their opinion with strict impartiality exclusively on the basis of the information given in the proposal and against the established evaluation criteria.

When choosing an evaluator, the JCS and the SC take all reasonable steps to ensure that s/he is not faced with a conflict of interest in relation to the proposals, which s/he is requested to assess. The evaluators will commit themselves to inform the JCS whenever a conflict of interest arises in the course of their duties. When so informed, the JCS takes all necessary actions to remove the conflict of interest.

The evaluators are committed to maintain the confidentiality of the information contained within the proposals they evaluate and of the evaluation process and its outcome.

The JCS and the GFP, represented by the Steering Committee, are committed to maintain the anonymity of the evaluators. The members of the JCS and the SC, as well as the experts evaluating the proposals, will sign a confidentiality agreement.

## 6.3. Evaluation and Selection Procedure

### 6.3.1. Eligibility Check

**Pre-Eligibility Check:** The JCS carries out a “Pre-Eligibility Check” of submitted pre-proposals and ensures that they meet all general formal eligibility criteria as set out above.

**National Eligibility Check:** The JCS will ask the FPs to thoroughly check and confirm the eligibility of applicants participating in a project consortium according to their national regulations (**Annex I**). This includes financial parameters like funding limits. Each corresponding FP thoroughly verifies and confirms in a “National Eligibility Check” whether pre-proposals from applicants from the respective country meet the national eligibility criteria as stated in Annex I.

### 6.3.2 Evaluation of pre-proposals – Step 1

The JCS will in a first step allocate each of the pre-proposals to three potential external reviewers from the EVAL-INCO database according to matching keywords. The SC will check the matching of pre-proposals to external reviewers, if deemed necessary.

The evaluation of these pre-proposals is performed online, using the **online submission and evaluation system PT-Outline**. Each evaluator receives access to the proposal and submits online the results of her/his evaluation. The access to proposals is protected by user names and passwords.

**The evaluators are not informed on the other experts evaluating the same proposal.** Each evaluator therefore assesses each proposal independently without exchanging views with other evaluators.

#### 6.3.2.1. Evaluation criteria

The set of criteria includes the following:

- I. Scientific and/or technological merit
- II. Potential impact
- III. Quality of the presentation of the pre-proposal

Evaluation scores will be awarded for each of these three criteria, and not for the sub-criteria. The sub-criteria are issues which experts should consider in the assessment of that criterion. For criteria I to III the threshold is 3 points out 5 per criterion (scoring table in section 6.3.4).

The evaluation should address the following criteria:

- I. **Scientific and/or technological merit (threshold: 3/5)**
  - Sound concept, quality of objectives
  - Innovativeness of the project idea
  - Progress beyond the state-of-the-art
  - Quality and effectiveness of the scientific and technological methodology

- Qualification and relevant experience of the coordinator and the individual participants/participating research teams

**II. Potential impact (threshold: 3/5)**

- Contribution to the development of the research field in question
- Impact of the project toward solving significant regional problems
- Sustainability of the project results
- Meeting market and economical needs

**III. Quality of the presentation (threshold: 3/5)**

- Clarity and comprehensiveness of the pre-proposal
- Compliance with the structure requirements

The total score of a proposal is the weighted average of the individual scores given to each criterion, rated from 0 to 5. The table below summarizes the scores and the weight coefficients per criterion, for the evaluation of pre-proposals:

|      | <b>Criteria</b>                       | <b>Score</b> | <b>Weight</b> |
|------|---------------------------------------|--------------|---------------|
| I.   | Scientific and/or technological merit | 0-5          | 60%           |
| II.  | Potential impact                      | 0-5          | 30%           |
| III. | Quality of the presentation           | 0-5          | 10%           |
|      | TOTAL                                 | 0-5          | 100%          |

**6.3.2.2. Ranking lists and Decision procedure**

The JCS moderates and manages the evaluation process with the approved external reviewers and establishes **four separate preliminary ranking lists of pre-proposals** (one ranking list per call topic, including reserve lists) on the basis of their scores and written evaluations.

The SC will hold a first meeting to discuss and consolidate the ranking list within thematic Panels. Each SC expert will review several pre-proposals. In critical cases (e.g. pre-proposals that received contradictory evaluations) each SC Panel will discuss them and may prioritize between pre-proposals that have attained the same grading in order to ensure a coherent ranking. The number of pre-proposals to be selected will depend on the total available call budget, considering a final success rate for full proposals of approximately 1:3.

Each Panel will jointly decide on unclear cases due to different evaluation results and the SC will present its joint recommendations (four separate ranking lists) to the GFP.

The GFP will take the final decision on the selected pre-proposals. Applicants with a successful pre-proposal will be invited to submit a full proposal.

A standard evaluation report will be sent to the applicants by the JCS, including the final overall score and key remarks.

### 6.3.3. Evaluation of full proposals - Step 2

The evaluation of the **full proposals** is performed online, using the **online submission and evaluation system PT-Outline**. Each proposal will be evaluated by three peer-reviewers. The evaluators receive access to the proposal and submit online the results of her/his evaluation. The access to proposals is protected by user names and passwords.

The JCS will allocate each of the full proposals to three potential external reviewers from the EVAL-INCO database according to matching keywords. The SC will check the matching of proposals to external reviewers and will propose alternative experts from the EVAL-INCO database, if deemed necessary.

The evaluators are not informed on the other experts evaluating the same proposal. Each evaluator therefore assesses each proposal independently without exchanging views with other evaluators.

Each evaluator fills in an individual evaluation form whereby s/he gives a score to each evaluation item, resulting in an overall score for the proposal. The evaluator also checks the compliance of the proposal with the thematic focus of the call.

#### 6.3.3.1 Evaluation criteria

The set of criteria includes the following:

- I. Scientific and/or technological merit
- II. Potential impact
- III. Quality of the consortium
- IV. Quality of the project management
- V. Quality of the presentation of the full proposal

Evaluation scores will be awarded for each of these five criteria, and not for the sub-criteria. The sub-criteria are issues which experts should consider in the assessment of that criterion. For criteria I to V the threshold is 3 points out 5 per criterion (scoring table in section 6.3.4).

The evaluation of the full proposals should address the following five criteria:

- I. Scientific and/or technological merit (threshold: 3/5)**
  - Sound concept, quality of objectives
  - Progress beyond the state-of-the-art
  - Quality and effectiveness of the scientific and technological methodology and associated work plan
- II. Potential impact (threshold: 3/5)**
  - Impact of the project towards relevant societal challenges
  - Meeting market and economical needs

- Appropriateness of measures for the dissemination and/or exploitation of transnational project results, and management of intellectual property

**III. Quality of the consortium (threshold: 3/5)**

- Complementarity of qualifications and relevant experience of the coordinator and the individual participants/participating research teams
- Fair distribution of efforts

**IV. Quality and efficiency of the implementation and the management (threshold: 3/5)**

- Appropriateness of the management structure and procedures
- Appropriate allocation and justification of the resources to be committed (budget, staff, equipment)

**V. Quality of the presentation (threshold: 3/5)**

- Clarity and comprehensiveness of the full proposal
- Compliance with the structure requirements

The total score of a proposal is the weighted average of the individual scores given to each criterion, rated from 0 to 5. The table below summarizes the scores and the weight coefficients per criterion, for the evaluation of pre-proposals:

|      | <b>Criteria</b>                       | <b>Score</b> | <b>Weight</b> |
|------|---------------------------------------|--------------|---------------|
| I.   | Scientific and/or technological merit | 0-5          | 45%           |
| II.  | Potential impact                      | 0-5          | 25%           |
| III. | Quality of the consortium             | 0-5          | 15%           |
| IV.  | Quality of the project management     | 0-5          | 10%           |
| V.   | Quality of the presentation           | 0-5          | 5%            |
|      | TOTAL                                 | 0-5          | 100%          |

**6.3.3.2. Ranking list and Decision procedure**

Based on the external evaluations, the JCS will prepare four separate preliminary ranking lists (one per call topic, including a reserve list). From the total scores  $x_i$  given by each evaluator the **average score**  $\langle x_i \rangle$  of each full proposal is calculated and retained to establish the ranking. The average score will be rounded to the second decimal.

The SC will hold a second meeting for the review of the full proposals within thematic Panels. Within this meeting critical cases will be discussed (e.g. full proposals that received contradictory evaluations) and the SC will prioritize between full proposals that have attained almost the same average score in order to ensure a fair ranking. The SC will present four lists of proposals

recommended for funding together with their tentative budgets (one list per call topic, including a reserve list). Additionally, all external evaluation reports will be made available to the GFP without reference to the peer reviewers.

The GFP decides on the final list of projects to be funded and on a reserve list of projects eligible for funding.

Given specific internal regulations, some FPs will confirm their commitment only after approval of the minutes of the GFP meeting by their dedicated decision bodies.

The final outcome of the evaluation of full proposals, including the detailed scores per criteria and the corresponding remarks made by the evaluators and the SC, will be made available to the project coordinators after the final funding decision of the GFP has been taken.

### 6.3.4 Scoring and ranking of S&T projects

The total score of a proposal is the weighted average of the individual scores given to each criterion, rated from 0 to 5. Half marks can be given (for example 3.5).

| Score           | Justification   |
|-----------------|---|
| 5: EXCELLENT    | The proposal successfully addresses all relevant aspects of the criterion in question. Any shortcomings are minor.          |
| 4: VERY GOOD    | The proposal addresses the criterion very well, although certain improvements are still possible.                           |
| 3: GOOD         | The proposal addresses the criterion well, although certain improvements would be necessary.                                |
| 2: FAIR         | While the proposal broadly addresses the criterion, there are significant weaknesses that would need correcting.            |
| 1: POOR         | The criterion is addressed in an inadequate manner, or there are serious inherent weaknesses.                               |
| 0: NOT RELEVANT | The proposal fails to address the criterion under examination or cannot be judged due to missing or incomplete information. |

Proposals with similar scores will be grouped in categories according to the following table:

| Score range | Category |
|-------------|----------|
| 5.00 – 4.80 | A        |
| 4.79 – 4.60 | B        |
| 4.59 – 4.20 | C        |
| 4.19 – 4.00 | D        |
| 3.99 – 0    | E        |

Within each category, the actual ranking of all proposals will not be taken into account and the proposals will be considered on equal foot, so as to facilitate the funding decision by the Funding Parties.

## 7. Decision Making by the Group of Funding Parties

**The members of the GFP will consensually take the final decision on the proposals, based on the recommendations of the SC.** A concluding GFP meeting will be convened and supported by the JCS and the Core Consortium.

In preparation of the concluding meeting of the Core Consortium, the ranking lists prepared by the SC will be presented to the FPs. The Core Consortium will ask the FPs to check the eligibility of requested funds and return an estimated national budget for each project based on their own national regulations.

Within each category, decisions will take into account the indicative budgets of the FPs. For those **cases in which the national financial contributions are exhausted**, the respective FPs should follow the following steps to bridge the gaps due to the lack of funds:

- The respective FPs will explore a budget increase;
- If a budget increase is not possible, the respective FPs will consider financial cuts within the budgets requested in the proposals.

In case the above mentioned steps do not lead to a solution, other FPs will be asked to check whether 'left-over' EU topping-up funds can be allocated to the proposals in question – only in the case of FPs not being able to allocate the full top-up funds.

Should this not be possible, the respective FPs would be entitled to receive extra funds from the reserve. The maximum amount to be received will represent a 'reasonable' relation to the national funding provided.

As a last resort, the participation of some project partners in the projects in question on their own resources will be considered, if the constitution of the consortia allows it.

The minutes of the final GFP meeting will be prepared by the JCS or one of the partners of the Core Consortium and finally adopted by the Consortium. **When adopted, the minutes of the final GFP meeting – including the final funding list – are binding for the members of the Consortium.**

Before the Funding Decision is considered 'final', the reserve lists needs to be handled at national level by respective FPs in order to verify the options for additional projects to be implemented. This procedure will be closed 4 weeks after the decision taking meeting of the GFP. The JCS will coordinate this process. The final list of projects to be funded will be approved by written procedure by all FPs.

On behalf of the GFP, the JCS will inform the applicants by standard letter about the result of the evaluation process and successful applicants about the next steps in order to start the projects.

## 8. Project Contracts and Monitoring

Following the final decision taken by the GFP, for each project approved for funding, **two contracts** will be executed in parallel:

- An **Umbrella Agreement** to be negotiated and signed on the one hand by the JCS represented by PT-DLR, RFBR and MON on behalf of the Group of Funding Parties and on the other hand by the coordinator of each project consortium.
- A **National Contract** to be negotiated and signed between and by each participating partner in a consortium and its corresponding FP.

Overall consistency between all contracts/agreements will be ensured by the FPs.

- The **Umbrella Agreement** will regulate horizontal issues of relevance for the whole project consortium in order to ensure the quality of international cooperation. It will set forth general terms and conditions of cooperation in the project including the signing of a **Consortium Agreement** including an agreement on the fair management of **Intellectual Property Rights** (IPR - background and foreground). As a rule, knowledge created in a project shall be owned by the participants generating it. The Umbrella Agreement will set out the reporting duties of the coordinating institution on behalf of the consortium as a whole.
- The **Umbrella Agreement** will include (as annexes) the full proposal, an overview of the funding budgets for all partners, guidelines for reporting to the JCS and the ToR.

**National Contracts** will regulate the transfer of funds to national beneficiaries based on national regulations. They will establish the legal ground for project funding at national level according to the rules and regulations of the respective FP.

### Overall monitoring of the S&T projects

The JCS will be responsible for the overall monitoring of the projects building on standardized intermediate and final reports to be submitted by the project consortia (via the project coordinator) according to the terms of the 'Umbrella Contract'. The reports will be available for further use by the FPs.

The overall monitoring includes the following items:

- Synchronization of national grant agreements by the JCS: FPs start in parallel individual contracting procedures between the Funding Party and the respective beneficiary for each individual ERA.Net RUS Plus project according to the national regulation. The JCS tries ensuring a synchronized starting date of all projects.
- Follow-up of signing a Consortium Agreement (CA) including provisions on the fair management of IPR to be signed between all partners of a project consortium within three months after project start. If necessary, consortia are to be reminded of this



contractual obligation including corrective measures at project level in consultation with the FPs involved.

- The project coordinator compiles information on the progress of an individual project from all project consortium partners and sends a short standardized report mainly on the scientific progress of the project until the month 12 and 24 (Intermediate Reports). The report should not exceed 5 pages including tables, graphs and figures. In particular, the short report should clearly state whether the work program of the project had been implemented according to the terms of the project proposal or if any deviations have occurred. The report will be analyzed and forwarded by the JCS to all Funding Parties involved. In case of deviations the JCS will arrange a consultation and decision taking on possible corrective measures by all Funding Parties involved.
- At the end of a project the project coordinator has to present a standard 'Final Report' which is also mandatory in case the project will be terminated prematurely. The final report should not exceed 10 pages including tables, graphs and figures and a publishable abstract of the project results. A template for the reports will be provided by the JCS. The report will be analyzed and forwarded by the JCS to all Funding Parties involved. In case of deviations the JCS will arrange a consultation and decision taking on possible corrective measures by all Funding Parties involved.

According to the regulation of the umbrella agreement, any change concerning a project (i.e. changes of consortium partners, substantial deviations from the work program, premature end of the project or any other issues that might have significant impact on the implementation of the project) has to be reported by the project coordinator to the JCS. The JCS will inform all FPs accordingly and arrange a consultation and decision taking on possible corrective measures by all Funding Parties.

## **Annex I: National Rules of Funding Parties**

### **AUSTRIA**

#### **Austrian Science Fund (FWF)**

**Applicants will be advised to check with the respective national Contact Point the eligibility as to who may apply and what costs are eligible before a consortium submits the complete application.**

**The following call topics of the joint call are supported by “Funding Institution”:**

Call topic 4: Social Sciences and Humanities

#### **Who can apply?**

Any scientist or researcher working in Austria who possesses the qualifications, available capacity, and the infrastructure necessary to carry out the project submitted. The applicant's qualifications to carry out the work should be demonstrated by publications in internationally recognised journals (the number of which should correspond to the stage the applicant has reached in his/her career). Given the international review process, the FWF normally expects international and/or peer-reviewed publications that are recognised outside of German speaking countries and regions. Any exceptions must be justified.

No particular academic title is needed, nor is Austrian citizenship required. However, projects must be carried out in Austria or have to be based at an Austrian research institution.

Applications for stand-alone projects may only be submitted by individual natural persons. Applications from institutes, institutions or companies are not permitted.

“Independent scholars” (Selbstantragsteller) are also allowed to apply. “Independent scholar” means a project leader whose salary is to be paid from the funding provided for the project. The prerequisite for such an arrangement is that either the researcher's main residence must have been in Austria for at least three of the past ten years at the time when the application is submitted, or the applicant must have been working continuously as a researcher in Austria for at least two consecutive years preceding the submission of the application.

#### **What types of costs are eligible for funding?**

Only project specific costs may be requested, i.e. personnel and non-personnel costs that are essential to carry out the project and that go beyond the resources made available from the research institution's so-called “infrastructure”.

With the exception of projects submitted by “independent scholars”, no personnel costs may be requested for team leaders.

- Eligible personnel costs:
  - Contracts of employment (Dienstvertrag) for full- or part-time employees (Post-doc positions, PhD-student positions) according to the current FWF salary scheme including provisions for inflation. It is not permitted to apply for PhD-student positions where the extent of employment exceeds 75% (which corresponds to 30 hours per week).

The current FWF salary scheme gives the salaries that may currently be requested.

- Reimbursement for work on an hourly basis (Geringfügig Beschäftigte).
  - Part-time contracts of employment (Dienstvertrag 50%, "studentische Mitarbeit") may be requested for people who have not yet completed a master's or diploma program (Diplom) in the relevant subject.
- Eligible material costs are e.g.:
    - Travel & accommodation & meeting costs

At national team leader level, the following costs are eligible

- Equipment costs, material costs, travel costs, other costs, contracts for work and services.
- Eligible general costs:
    - An amount for smaller, unforeseen costs necessary for the project, such as repairs, student assistance etc. is to be calculated as 5% of the total funding requested. No justification for general costs has to be included in the written project description.

Non-permissible costs:

- FWF does not pay overhead costs for ERA.Net RUS Plus projects.
- FWF does not finance infrastructure of basic equipment at research institutions. This category includes all facilities that are required for the normal operations of the research institution (such as buildings, installations, communication facilities, scientific equipment considered as infrastructure and so on).
- Funding for publication costs cannot be requested. However, the FWF does provide additional funding for peer-reviewed publications upon request up to three years after the end of an approved project.

**Additional national eligibility criteria for the proposal beyond the general criteria in the Terms of Reference of the joint call**

None

**Upper funding limits for eligible costs?**

No upper funding limits, however average costs should not exceed 100.000 € per year.

**Any other information relevant to the joint call**

**National Contact Point**

- FWF
- Dr. Beatrix Asamer
- Phone: +43-1 505 67 40 - 8301
- E-Mail: [beatrix.asamer@fwf.ac.at](mailto:beatrix.asamer@fwf.ac.at)

## Belgium

### Research Foundation – Flanders (FWO)

Applicants will be advised to check with the respective national Contact Point the eligibility as to who may apply and what costs are eligible before a consortium submits the complete application.

#### Who can apply?

Applications for FWO research projects can be submitted by supervisors who meet at least one of the following criteria:

1° an Independent Academic Staff (ZAP) member with an appointment of more than 10% at a Flemish university;

2° an Independent Academic Staff member with an appointment of 10% at a Flemish university and whose main task is research;

3° an Independent Academic Staff member with an appointment of 5% at a Flemish university and with an appointment as (assistant) clinical head or an equal function in a university hospital;

4° an academic staff member with an appointment at the Evangelical Protestant Faculty in Leuven and the Faculty for Protestant Theology in Brussels;

5° a research director of the FWO;

6° a designated beneficiary of an ERC Starting Grant, an ERC Advanced Grant or an Odysseus II grant, with a Flemish university as a host institution.

If not at least one of these requirements for the supervisor is met with at the time of the application, the applicant needs to prove that this will be the case at the start of the agreement.

All co-supervisors of the project have to be researchers of at least postdoctoral level. Co-supervisors have to be affiliated to a Flemish university, or a Flemish research institution, or a Flemish university hospital, or the Transnational University Limburg, or the Evangelical Protestant Faculty in Leuven, or the Faculty for Protestant Theology in Brussels, or a federal scientific institution where the co-supervisor belongs to the Dutch-speaking community. (Foreign researchers can participate as co-supervisor in the project without FWO funding, provided the collaboration is relevant for the project).

If several universities are involved in the project, at least the supervisor or one co-supervisor shall meet the requirements set out in §1, 1°, 2°, 3°, and hold an appointment that fully covers the duration of the research project applied for.

## What types of costs are eligible for funding?

### I Direct costs

For an ERA-NET project, the FWO may grant funds for staff, consumables and equipment.

The following costs can be charged to the awarded staff and consumables funding, provided they are specified in the original application:

- a. staff costs of scientific or technical employees;
- b. normal consumables required for the execution of the project;
- c. fees for job students, pollsters and expenses resulting from the invitation of a guest researcher in the research department;
- d. small equipment of less than €20.000 per unit, required for the project;
- e. fees for student stays and participation in conferences abroad provided they are in line with the awarded research project;
- f. access to and dissemination of research results;
- g. travel costs in Belgium.

Supervisors and co-supervisors are not allowed any remuneration or accumulation with a remuneration under an FWO project, unless an exception is granted by the administrative bodies.

### II. Indirect Costs: Overheads

FWO will pay to the host institution overhead costs for the administration of the project. This amounts stands apart from the research budget of maximum €200.000 for a project of 30 months.

#### **Additional national eligibility criteria for the proposal beyond the general criteria in the Terms of Reference of the Single Joint Call**

None, except for the items in the FWO regulation on research projects.

#### **Upper funding limit**

The Research Foundation – Flanders intends to fund, per topic, the highest ranked project with a participation of researchers that requested FWO-funding. The FWO will therefore fund a maximum of four projects.

Upper funding limit per project: **200.000 EUR/project/max. 30 months**

#### **Further information relevant to NATIONAL applicants**

For the FWO regulation on research projects, see: <http://www.fwo.be/en/fellowships-funding/research-projects/research-project/regulations-for-research-projects/>.

**Contact Point(s)**

Dr. Olivier Boehme  
Research Policy Advisor  
+32 2 550 15 45

Geertrui Poelaert  
Advisor  
+32 2 550 15 55

[eranet@fwo.be](mailto:eranet@fwo.be)

## ESTONIA

### Estonian Research Council (ETAg)

**Applicants will be advised to check with the respective national Contact Point the eligibility as to who may apply and what costs are eligible before a consortium submits the complete application.**

Research proposals may be submitted by the representatives of Estonian institutions, based in Estonia.

A grant or research support is the allocation of funds by the Estonian Research Council for a specified purpose to carry out research work and the grant shall be awarded within an open competition.

#### 1. Participants in the grant project

1.1. Principal Investigator is the applicant of the grant, to whom the grant has been allocated within an open competition and who shall be responsible for the use of the grant for specified purpose and for the productive realisation of the grant project. The Council shall enter into **a grant agreement with the** Principal Investigator.

#### 1.2. The Principal Investigator

1.2.1. Has as a rule entered into an employment relationship with the legal person (hereinafter Institution), which is the basis of the realisation of the grant project and through which the grant shall be allocated to the Principal Investigator.

1.2.2. Must be a holder of the doctoral degree of Estonia or an equivalent academic degree (both awarded by the deadline of submission of the grant application, at the latest).

1.2.3. Must have published within the last five years prior to the proposal's submission deadline at least three publications, which comply with the requirements of clauses 1.1 of the classification of publications of the Estonian Research Information System<sup>5</sup> (ETIS), or at least five publications, which comply with the requirements of clauses 1.1, 1.2, 2.1 and 3.1 of the classification of publications of the ETIS; the monographs are equalised to each author with three publications mentioned in clause 1.1 if the number of its authors is three or less. If the applicant has been on the parental leave or in the compulsory military service within these last five years, the deadline of the publication requirement shall be extended by the time stayed on the parental leave or compulsory military service.

1.3. The main participant of the project is a person who participates in the substantial performance of the project. The main participant of the project shall either possess at least the master's degree or the respective qualification and must have published at least one publication within the last three years prior to the proposal's submission deadline,

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<sup>5</sup> Web-site in Estonian: <https://www.etis.ee/otsingud/classification.aspx>



which comply with the requirements of clauses 1.1, 1.2, 2.1 or 3.1 of the classification of publications of the ETIS; or be a doctoral candidate.

## 2. Budget

- 2.1. A budget of proposal shall consist of the research expenses and the overhead costs of the institution, through which the grant project is to be carried out.
- 2.2. The research expenses consist of personnel costs (incl. scholarships), travel costs, other direct costs and subcontracting costs. The expenses on research are clearly required to carry out the project and respectively identifiable.
- 2.3. **Remuneration** may be only paid out of the grant to the Principal Investigator, main participants in the project and auxiliary staff according to the time they participate in the grant project and their total salary cost for Institution.<sup>6</sup> Double funding of activities already have contributions is not acceptable.
- 2.4. **Scholarship** equal to the state grant may be paid out of the grant to doctoral and master's candidates not paid any salary by Institution. The scholarship for a master's candidate may not exceed 300 euros and for a doctoral candidate 400 euros a month. The scholarship of the doctoral candidate along with the State education allowance may not exceed 600 euros a month. Should a doctoral or master's candidate participate in several projects financed by the Council, the total amount of the scholarship received from different projects may not exceed the aforementioned amounts. It means that maximum of the scholarships per doctoral candidate and master's candidate are respectively annually 4800 euros and 3600 euros.
- 2.5. **Travel costs** cover expenses for transport, accommodation and daily allowances (except in case of internal travel).
- 2.6. Subcontracting costs cover generally only additional or complementary tasks (e.g. costs for translation, analyses, etc.) to the third parties. Core project research tasks should not be subcontracted.
- 2.7. Other direct costs are:
  - 2.7.1. Consumables related to the project
  - 2.7.2. Costs for publishing and dissemination of project results (fair distribution of costs between partner should be followed);
  - 2.7.3. Costs for organising the meetings/seminars/conferences (only in Estonia)
  - 2.7.4. Fees for participating in scientific forums and conferences;
  - 2.7.5. All other costs which are clearly required for the implementation of the project and respectively identifiable.
- 2.8. Overhead costs of the institution must not exceed a maximum of 20% of eligible direct costs and should be cover general expenses of the institution.
- 2.9. Participants' personal expenses or expenses not directly related to the project are not eligible, including costs for equipment and services intended for public use (copying

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<sup>6</sup> comprising basic monthly salary plus social security charges and other statutory costs

machine or printer publicly used, phone bills, copying service, etc.). Such expenses shall be covered from the overhead fee.

### **3. Human research or animal tests**

3.1. If a human research or animal tests are intended in the research project, the positive resolution of the Ethics Review Committee or permit committee of the conduct of animal experiments with respect to the performance of the project addressed to the Council shall be submitted before the funding decision is made.

### **4. Upper funding limits for eligible costs?**

The Etag upper funding limit is 25.000€ per project.

### **Further information relevant to NATIONAL applicants**

#### **Contact Point**

- Estonian Research Council  
Soola 8  
51013 Tartu/Estonia
- **Ms. Ülle Must**
- E-Mail: [ulle.must@etag.ee](mailto:ulle.must@etag.ee)
- Phone: +37 27 300330

## FINLAND

### Academy of Finland (AKA)

**The following call topics of the joint call are supported by “Funding Institution”:**

1. Call topic 2: **Environment/Climate Change**
  - Environmental impact and risk of raw materials extraction and transportation
  - Extreme climate events and their impact on the environment
2. Call topic 4: **Social Sciences and the Humanities**
  - Understanding Conflict, Identity, and Memory: Past and Present

#### **Who can apply?**

Funding for Academy projects is primarily granted to research teams that are composed of researchers who have already earned their doctorate. In principle, a project to be funded shall serve Finnish research and society or international collaboration.

The applicant (the principal investigator of a research project) for Academy funding must have the qualifications of a professor or an adjunct professor or be a researcher with a doctorate.

The Academy of Finland will provide funding only insofar as the site of research undertakes to provide the researcher with the necessary basic facilities for research.

Academy funding is allocated to the researcher's host organisation.

Academy funding to organisations outside the national economy (e.g. universities) is classed as discretionary Government transfers. Since these funds are allocated not to individual researchers but to their host organisation instead of the researcher, the host organisation is regarded as the recipient of the transfer in accordance with the Act on Discretionary Government Transfers, and will accordingly be required to assume all related obligations. The decision to grant a discretionary Government transfer is subject to specific conditions, in that the organisation receiving the transfer is permitted to use the transfer in question solely for funding research carried out by the principal investigator named in the application.

Staff to be hired with Academy research funding must have an employment relationship with the site of research or the organisation that has received the funding.

The Academy of Finland will provide funding for research projects only insofar as the institution serving as the site of the research undertakes to provide the project with the necessary basic facilities, which are the same as those available for other research staff at the institution, such as office and laboratory premises, equipment (incl. computer equipment), and telecommunications, telephone, mailing, copying and library services.

## What types of costs are eligible for funding?

### *I. Eligible costs as direct costs*

- a. Costs of personnel
- b. Costs of durable equipment
- c. Consumables and supplies
- d. Subcontracting
- e. Other costs

*Events*

*Travel*

*Subsistence allowance*

### *II. Indirect Costs: Overheads*

## **Additional national eligibility criteria for the proposal beyond the general criteria in the Terms of Reference of the joint call**

Calls for Academy research funding and the preparation, drafting and execution of Academy funding decisions are all carried out under the full cost model. This affects the application for research grants, budgeting, the allocation of research grants as well as accounting and reporting. The full cost model does not apply to personal grants.

Academy research funding is by nature a joint venture in which the funds to finance the costs of each project always come from at least two sources. When applying for Academy funding, universities, research institutes and other research organisations commit to contributing a certain percentage of the project costs.

When the full cost model is applied, the Academy's funding contribution comes to a maximum of 70 per cent. The percentage is equally applicable to all project costs, that is, to both direct and indirect costs, including overheads.

The Academy has adopted a payment procedure for all organisations that receive Academy funding. In the use of funds, funding recipients shall follow both relevant legislation and the Academy's separate guidelines. In the use of the funds, the valid general conditions and guidelines shall be adhered to, unless otherwise decided.

Research grants from the Academy can be used only for the purpose for which they have been granted. This is the responsibility of the site of research that has received the funds and the principal investigator of the research project.

Any supplies, equipment and literature acquired with Academy funding will remain in the ownership and possession of the site of research. In the case of research infrastructures, the parties can agree in writing on other procedures and notify the Academy at the application stage. The guidelines for the acquisition procedure at the site of research in question shall be followed.

The general conditions and guidelines are an integral part of the Academy's funding decisions and are sent to the funding recipient and the principal investigator together with the funding

decision.

The Academy recommends that Academy-funded social-science research data be delivered to the Finnish Social Science Archive (FSD), based at the University of Tampere.

Funding recipients should also read the Academy's research-ethical guidelines, equality plan (PDF) and IPR handbook for researchers.

**All applicants are strongly advised to contact the National Contact Point, and requested to read the general conditions for funding decisions of the Academy of Finland. These conditions will be applied.**

<http://www.aka.fi/en-GB/A/Funding-and-guidance/Use-of-funding/>

Upper funding limits for eligible costs?

Any other information relevant to the joint call

**National Contact Point**

- Mikko Ylikangas
- Academy of Finland
- Phone: +358 29 533 5143
- E-Mail: [mikko.ylikangas@aka.fi](mailto:mikko.ylikangas@aka.fi)

## FRANCE

### AMBAFRAN, French Embassy in the Russian Federation

The following call topics of the joint call are supported by “Funding Institution”:

AMBAFRAN will support S&T Projects relating to any research areas listed in the 4 priority domains of the call.

#### Who can apply?

The following legal entities are eligible for funding:

- Non-profit research institutions
- Universities and higher education institutions
- Enterprises
- NGO

#### What types of costs are eligible for funding?

- a. Costs of personnel

Personnel costs are not eligible but they shall appear in the total costs

- b. Costs of durable equipment

No costs of durable equipment are eligible.

- c. Consumables and supplies

Such costs are eligible for the duration of the project (up to 8 000€ / project).

- d. Subcontracting

No subcontracting is eligible.

- e. Other costs:

##### *Events*

Expenses for the organization of small scientific events (including the invitation of external experts): room/hall rent, all the consumables necessary for the implementation of the conference/ workshop, publications Translation costs are eligible.

##### *Travel*

Travel costs are eligible.

##### *Subsistence allowance*

Per diem are eligible. The following lump sums are taken as a basis for budget calculation (National rules, see Table 1).

|         |         |             |         |
|---------|---------|-------------|---------|
| Austria | 175€    | Poland      | 175€    |
| Estonia | 129€    | Romania     | 1500LEU |
| Finland | 220€    | Slovakia    | 122USD  |
| Germany | 164€    | Spain       | 132€    |
| Italy   | 220€    | Switzerland | 230CH   |
| Latvia  | 152€    | Russia      | 230€    |
| Moldova | 188USD  | Turkey      | 165€    |
| Norway  | 1465NOK |             |         |

No indirect costs are eligible.

**Additional national eligibility criteria for the proposal beyond the general criteria in the Terms of Reference of the joint call**

AMBAFRAN requires the following eligibility criteria for French participants:

- Timely submission as specified in the relevant call for proposal

**Upper funding limits for eligible costs?**

Up to 50 000 € per project for its duration

**Any other information relevant to the joint call**

AMBAFRAN will coordinate its financial supports with the other French funding parties.

**National Contact Point**

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75016 Paris, France  
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Fax: +33 1 44 96 49 10  
E-Mail: [laura.sedaine@cnrs-dir.fr](mailto:laura.sedaine@cnrs-dir.fr)
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E-Mail: [jean-luc.teffo@upmc.fr](mailto:jean-luc.teffo@upmc.fr)

## FRANCE

### CNRS (National Center for Scientific Research)

#### Who can apply?

Every laboratory or Joint Research Unit affiliated to the CNRS can apply.

#### What types of costs are eligible for funding?

##### I Eligible costs as direct costs

###### a. Costs of personnel

Personnel included in the project may be from CNRS laboratories or Joint Research Units, whether they are hired by CNRS or by its partner institutions.

Personnel costs are not eligible but they shall appear in the total costs.

###### b. Costs of durable equipment

No costs of durable equipment are eligible

###### c. Consumables and supplies

According to the CNRS internal rules regarding consumables and supplies, any consumables necessary for the implementation of the project may be considered as direct eligible costs (i.e: Consumable: unit cost less than 800 euros and/or duration of use less than 1 year). Consumables are only eligible costs under the project if bought ("*service fait*") after the start date of the project. Consumables belonging to the basic facilities of an applicant are not eligible

###### d. Subcontracting

No sub-contracting is eligible.

###### e. Other costs:

##### *Events*

In general all costs directly related to the preparation and the implementation of events like workshops, conferences etc. are eligible. Translation costs are not eligible.

##### *Travel and subsistence allowance*

The national rules and CNRS internal process will be applied. The following lump sums are taken as a basis for budget calculation (see Table 1).

|         |         |             |         |
|---------|---------|-------------|---------|
| Austria | 175€    | Poland      | 175€    |
| Estonia | 129€    | Romania     | 1500LEU |
| Finland | 220€    | Slovakia    | 122USD  |
| Germany | 164€    | Spain       | 132€    |
| Italy   | 220€    | Switzerland | 230CH   |
| Latvia  | 152€    | Russia      | 230€    |
| Moldova | 188USD  | Turkey      | 165€    |
| Norway  | 1465NOK |             |         |



## II Indirect Costs: Overheads

Neither indirect costs nor management costs are eligible.

### **Additional National Eligibility Criteria for the proposal beyond the general criteria in the ToR of the SJC (section 3 Eligibility of proposals)**

As the French Ministry of Higher Education and Research (MENESR) and the French Embassy in the Russian Federation may contribute to CNRS projects, eligibility rules of the MENESR and AMBAFRAN have also to be taken into consideration, - please refer to MENESR and AMBAFRAN eligibility rules.

#### **How to fill-in the table costs?**

Applicants shall include the labour costs in the total costs.

#### **Upper funding limits for the eligible costs**

Up to 50 000€ per project for 2 years.

#### **National Contact Point:**

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FRANCE  
Tel: +33 1 44 96 46 67  
Fax: +33 1 44 96 48 56  
Email: [laura.sedaine@cnrs-dir.fr](mailto:laura.sedaine@cnrs-dir.fr)

- Francesca Grassia  
CNRS  
3 rue Michel-Ange  
75016 Paris  
FRANCE

## FRANCE

### INRA (French National Institute for Agricultural Research)

The following call topics of the joint call are supported by “Funding Institution”:

*Call topic n°2: Environment and climate change*

2.1 Increasing the reliability of regional climate projections: models and measurement  
This topic includes tackling several independent issues for promoting the increase of reliability of regional climate projections. Improving the spatial resolution of climate models will allow simulating the short-term part of variability and taking into account mosaic conditions of the land surface. Developing a set of regional benchmark tests based on measurements will support performing validation of climate models. Finally, improving the data assimilation system (based on measurements) will enable enhancing of initial conditions for the task of short-term climate predictions.

2.3 Extreme climate events and their impact on the environment  
This topic concerns observed and projected changes in weather and climate extremes, including analyses of observed and projected impacts on the natural and agricultural environment: floods, extreme sea levels, coastal impacts, droughts, heavy snowfall and forest fires, heat waves, high-latitude including permafrost degradation. Studies are welcome of long-term changes of major indicators of climate, and comparative analysis of factors influencing it over Eurasia. Furthermore, studies of understanding the risks or opportunities of climate extremes for climate change adaptation are relevant.

#### Who can apply?

INRA researchers, or researchers groups, included in an eligible consortium.

#### What types of costs are eligible for funding?

- I. Eligible costs as direct costs
  - a. Costs of personnel: no
  - b. Costs of durable equipment: no
  - c. Consumables and supplies : yes
  - d. Subcontracting: no
  - e. Other costs:
    - i. Events (seminars, meetings, etc.): yes
    - ii. Travel costs: yes
    - iii. Subsistence allowances: yes
- II. Indirect costs: Overheads: no

#### Additional national eligibility criteria for the proposal beyond the general criteria in the Terms of Reference of the joint call:

Be in the scope of the S&T topic "Environment/Climate change", in the focus of the subtopics 2.1 and 2.3, and have as target particularly climate change adaptation.

#### Upper funding limits for eligible costs?

Two projects of 50k€ per 2 years shall be funded, including an upper funding from MENESR

**Any other information relevant to the joint call**

**National Contact Point**

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- INRA
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- 75007 Paris
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## FRANCE

### Ministry of National Education, Higher Education and Research (MENESR)

#### Who can apply?

Scientific teams from public research and development entities, higher education institutions, public research establishments and non-university research institutions.

#### What types of funding are eligible for researchers?

I Eligible costs as direct costs

a. Costs of personnel

Personnel costs are not eligible but they shall appear in the total costs.

b. Costs of durable equipment

No costs of durable equipment are eligible.

c. Consumables and supplies

Such costs are eligible for the duration of the project.

d. Subcontracting

No subcontracting is eligible.

e. Other costs:

#### *Events*

Expenses for the organisation of small scientific events (including the invitation of external experts): room / hall rent, all the consumables necessary for the implementation of the conference/ workshop, publications. Translation costs are eligible.

#### *Travel*

Travel costs are eligible.

#### *Subsistence allowance*

Per diem are eligible.

The following lump sums are taken as a basis for budget calculation (National rules, see Table 1).

| <b>Table 1: Subsistence allowance per day for a scientific visit</b> |      |             |         |
|--|------|-------------|---------|
| Austria  | 175€ | Poland      | 175€    |
| Estonia  | 129€ | Romania     | 1500LEU |
| Finland  | 220€ | Slovakia    | 122USD  |
| Germany  | 164€ | Spain       | 132€    |
| Italy  | 220€ | Switzerland | 230CH   |

|         |         |        |      |
|---------|---------|--------|------|
| Latvia  | 152€    | Russia | 230€ |
| Moldova | 188USD  | Turkey | 165€ |
| Norway  | 1465NOK |        |      |

II Indirect costs: Overheads

No indirect costs are eligible.

**Additional National Eligibility Criteria for the proposal beyond the general criteria in the ToR of the PJC (section 4)**

No

**Upper funding limits for the eligible costs**

50 000 € per project.

**National Contact Point:**

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**Contact:**

- Jean-Luc Teffo, expert  
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## GERMANY

### Federal Ministry of Education and Research (BMBF)

Applicants will be advised to check with the respective national Contact Point the eligibility as to who may apply and what costs are eligible before a consortium submits the complete application.

#### Who can apply?

Research proposals may be submitted by German (based in Germany):

- Research institutions
- Universities
- SMEs

Research institutions that receive basic funding from the Federal Government and the Länder can only be granted funds to cover extra expenditure in addition to their basic financing.

#### What types of costs are eligible for funding?

##### *I. Direct costs*

##### a. Costs of personnel

Grants towards the costs of scientific staff, administrators and/or student assistants, required in connection with the proposed project can be provided.

##### b. Costs of durable equipment

Funding for equipment can be provided. However, this only includes equipment that does not form part of an institution's basic equipment (i.e. no laptops, notebooks or equipment which is regularly used by the applicants).

##### c. Consumables and supplies

Any consumables necessary for the project implementation (expendable items, devices, business needs, transportation expenses, literature, rents, orders, etc.) may be considered as direct costs. Consumables are only eligible costs if bought after the start date of the project. Consumables belonging to the basic facilities of an applicant are not eligible.

##### d. Subcontracting

The general rule should be that applicants shall implement the project and shall have the necessary resources to that end. However, it should be accepted that as an exception certain parts that are not "core" parts of the project may be subcontracted. Examples for minor services to be subcontracted are rent of locations, translation costs, costs for technical equipment in the context of the organization of events or printing services etc.

##### e. Other costs

Events: With regard to workshops in Germany, grants can be provided towards expenses such as the preparation of the workshop, catering and rental of the venue. For food and beverage per lunch/dinner a maximum amount of 30 EUR/person shall be applied. The amount of the grant depends on the size of the workshop and the number of guests.

Travel and subsistence allowance: The subsistence allowance per full day (including accommodation, meals) in the context of a business trip should be applied as a lump sum. Travel costs are eligible (economy class). Daily allowances/per full day: 94 EUR or respectively 2.116 Euro/per month. For individual days in a subsequent month, a daily sum of 70 Euro /per day can be paid.

Contributions to health insurance and other types of insurance are covered by this lump sum and is payable by the beneficiaries themselves. Arrival and departure days are treated as half days.

f. Costs for filing and obtaining patents

Necessary expenses for patent applications (patent lawyers and patent office fees) that are needed to fulfil the funding objectives can be covered, provided that the expenses are incurred during the funding period and that they have not already been or are expected to be covered by other public funding providers.

**II. Indirect Costs: Overheads**

Overhead costs are eligible depending on the type of institution as well as on the respective accounting system.

Universities (public/non-public) and university hospitals (regardless of their legal status) can apply for an additional lump sum of 20% (Projektzuschale) of the requested funding for research projects under the condition that the indicative overall financial plan includes cost categories such as personnel, awarding contracts, rents and/or investments.

**Additional national eligibility criteria for the proposal beyond the general criteria in the Terms of Reference of the Joint Call**

Grants for universities, research and science institutions and similar establishments will be calculated on the basis of the eligible but additional project-related expenditures (grants for Helmholtz centers and the Fraunhofer-Gesellschaft (FhG) will be calculated on the basis of the total project-related costs eligible for funding), up to 100% of which can be covered in individual cases.

In exceptional cases, in which SME are also funded the grants for SME are calculated on the basis of the total project-related costs eligible for funding, up to 50% of which can, as a rule, be covered by government grants, depending on how near the project is to application. The BMBF policy requires an own contribution towards the eligible costs incurred - as a rule at least 50%.

The European Commission's Community Framework for State Aid for Research and Development must be taken into account when calculating the respective funding ratio. This Community Framework allows a differentiated bonus arrangement for collaborative projects involving applicants in the new German Länder and for small and medium-sized enterprises (SMEs), which may result in a higher rate of funding.

A lump-sum of 20% (Projektzuschale) of the requested funding for research projects for the benefit of universities and university hospitals is already included in the funding.

### Upper funding limit

Upper funding limit: **100.000 EUR/project** incl. lump sum of 20% (Projektpauschale)  
(NOT per each partner in a given project)

Applicants whose full proposals have been evaluated positively will be invited to submit - in coordination with the designated project coordinator - a formal application for funding on which a decision will be taken after a final evaluation.

To draft formal applications for funding, applicants must use the BMBF's electronic application system "easy".

### Further information relevant to NATIONAL applicants

#### Contact Point

German Aerospace Center (DLR), Project Management Agency  
European and International Cooperation  
Heinrich-Konen-Str. 1  
53227 Bonn/Germany

- **Mr. Jörn Grünewald**  
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- **Ms. Andrea Kröll**  
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## LATVIA

### Latvian Academy of Sciences

The following call topics of the joint call are supported by “Funding Institution”:

1. Call topic: Nanotechnologies
2. Call topic: Environment/Climate change
3. Call topic: S&T projects in Health
4. Call topic: Social Sciences and Humanities

#### Who can apply?

Following legal persons (as defined under the Latvian law) are eligible for funding, except natural persons:

- ✓ R&D institutions - research institutes, universities, higher education establishments, their institutes and research centres etc.
- ✓ Enterprises and companies.

R&D institution (research institutes, universities, higher education establishments, research centres etc.) must be listed in the Registry of Research Institutions operated by the Ministry of Education and Science of the Republic of Latvia.

Private entities must be registered in the Registry of Enterprises of the Republic of Latvia and provide most of its R&D&I activities in the Republic of Latvia.

#### What types of costs are eligible for funding?

Direct costs:

- Personnel costs,
- Other direct costs such as consumables, equipment (only depreciation costs), materials and etc.,
- Subcontracts (up to 20% of total direct costs),
- Travels costs,
- Project management costs,

Indirect costs (can reach a maximum of 20% of the total direct costs).

#### **Additional national eligibility criteria for the proposal beyond the general criteria in the Terms of Reference of the joint call**

R&D institution (research institutes, universities, higher education establishments, research centres etc.) must be listed in the Registry of Research Institutions operated by the Ministry of Education and Science of the Republic of Latvia.

Private entities must be registered in the Registry of Enterprises of the Republic of Latvia and provide most of its R&D&I activities in the Republic of Latvia.

### **Upper funding limits for eligible costs?**

National co-financing rate for project shall be determined in accordance with the Commission's Regulation (EC) No 800/2008 of 6 August 2008 declaring certain categories of aid compatible with the common market in application of Articles 87 and 88 of the Treaty (General block exemption Regulation).

### **Any other information relevant to the joint call**

The funding of RTD activities is provided pursuant in accordance with the Law on Research Activity (adopted on 14 April 2005 with amendments) and Regulation of the Council of Ministers of the Republic of Latvia No 414 on the procedure for providing support for participation in international cooperation programs for research and technology (adopted on 19 June 2012).

### **National Contact Point**

- Maija Bundule
- Latvian Academy of Sciences
- Phone: +371 67227790
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## MOLDOVA

### Center of International Projects of the Academy of Sciences of Moldova

The following call topics of the joint call are supported by “Funding Institution”:

1. Call topic: **Nanotechnologies**
2. Call topic: **Environment/Climate change**
3. Call topic: **S&T projects in Health**
4. Call topic: **Social Sciences and Humanities**

#### Who can apply?

Applicants will be advised to check with the respective national Contact Point the eligibility as to who may apply and what costs are eligible before a consortium submits the complete application.

Research proposals may be submitted by Moldovan researchers (based in Moldova) from the Research and Development Organizations accredited for science by the National Council for Accreditation and Attestation. The list of eligible R&D Organizations could be accessed on: <http://www.cnaa.md/en/accreditation-commission/>

#### What types of costs are eligible for funding?

##### *I. Direct costs*

###### a. Costs of personnel

Funding can be provided for scientific staff, administrators and/or student assistants, in connection with the proposed project according to the corresponding national regulations.

###### b. Costs of durable equipment

Funding for equipment can be provided. However, this only includes equipment that does not form part of an institution's basic equipment (i.e. no laptops, notebooks, photo & video cameras or equipment which is regularly used by the applicants).

###### c. Consumables and supplies

Any consumables necessary for the project implementation (expendable items, devices, business needs, transportation expenses, literature, rents, orders, etc.) may be considered as direct costs.

###### d. Subcontracting

The general rule should be that applicants shall implement the project and shall have the necessary resources to that end. However, it should be accepted that as an exception certain parts that are not "core" parts of the project may be subcontracted. Examples for minor services to be subcontracted are rent of locations, translation costs, costs for technical equipment in the context of the organization of events or printing and materials multiplication services etc.

###### e. Other costs

Events: In case of organization of workshops in Moldova, could be eligible expenses such as: preparation of the workshop, catering and rental of the venue. For the expenses related to the

events organizations in Moldova shall be applied the corresponding national regulations.

f. Travel and subsistence allowance

The travel-related expenses: subsistence allowance per full day (including accommodation, meals), health insurance, and tickets tour-retour in the context of a business trip should be calculated according the Government Decision of the republic of Moldova nr.10 from 05/01/2012.

g. Costs for filing and obtaining patents

Necessary expenses for patent applications (patent lawyers and patent office fees) that are needed to fulfill the funding objectives can be covered according to the corresponding national regulations.

**Additional national eligibility criteria for the proposal beyond the general criteria in the Terms of Reference of the joint call**

The Annex#3: “Regulation of the calls organizing for State Programmes and projects from science and innovation area” to the Partnership Agreement between the Government of the Republic of Moldova and the Academy of Sciences of Moldova shall apply for any general or additional eligibility criteria.

**Upper funding limits for eligible costs?**

Upper funding limit: 25.000 EUR/project

**Any other information relevant to the joint call - N/A**

**National Contact Point**

- Center of International Projects of the Academy of Sciences of Moldova
- Dr. Lidia Romanciuc
- Phone: +37322270774
- E-Mail: [intprojects@asm.md](mailto:intprojects@asm.md)

## POLAND

### National Centre for Research and Development (NCBR)

The following call topics of the joint call are supported by NCBR:

#### 1. Nanotechnologies

- Advanced nano-sensors for environment and health
- Novel functional nanomaterials based on design and modelling
- Nanomaterials for efficient lighting

#### 2. Environment/Climate change

- Increasing the reliability of regional climate projections: models and measurement
- Environmental impact and risk of raw materials extraction and transportation
- Extreme climate events and their impact on the environment

#### 3. Health

- Molecular mechanisms of brain function and pathology
- Regenerative medicine and biomaterials
- Drug discovery for cancer, cardiovascular and infectious diseases

### Who can apply?

1. Research entity,
2. Enterprise (micro, small, medium, large),
3. Scientific consortium (consisting of min. one research entity and min. one enterprise or of min. 2 research entities).

### What types of costs are eligible for funding?

1. **Personnel costs (W)** - researchers, technicians and other supporting staff to the extent employed on the research project;
2. **Costs of instruments, equipment (A) and intangible assets** (such as patents, certificates etc.) to the extent and for the period used for the research project; if they are not used for their full life for the research project, only the depreciation costs corresponding to the life of the research project, as calculated on the basis of good accounting practice, shall be considered eligible;
3. **Purchase of land and real estate (G)** to the extent and for the period used for the research project; if such instruments and equipment are not used for their full life for the research project, only the depreciation costs corresponding to the life of the research project, as calculated on the basis of good accounting practice, shall be considered eligible;
4. **Costs of subcontracting (E)**, i.e. costs based on agreements with third parties to perform a portion of the project without a direct supervision of the project participant and without a relationship of subordination the subcontracting can be obtained from

consortium partner only in justified case, this need will be verified by a national experts panel;

Costs of subcontracting cannot exceed 70% of all eligible costs of the project.

5. **Other costs (Op)** - including costs of support services, materials, supplies and similar products incurred directly as a result of the research activity;
6. **Overheads (O)** - incurred indirectly as a result of the research project; Overheads must be calculated according to the formula:  

$$O = (W+A+G+Op) \times \text{up to } 25\%^*$$

\* percentage from 0% to 25% estimated by the Applicant himself (the same percentage for every task in the project)

**Additional national eligibility criteria for the proposal beyond the general criteria in the Terms of Reference of the joint call (Section 3: Eligibility of Proposals)**

No other than those specified in these National Rules (Poland).

**Upper funding limits for eligible costs:**

**Upper limit of the total public funding for eligible costs is estimated on 100.000 € per project.**

**Types of research funded including the maximum state aid intensity** for enterprises according to the below-mentioned Regulation:

| Typ of Applicant       | Typ of research funded               |  |  |
|------------------------|--------------------------------------|--|--|
|                        | Basic research<br>(basic level 100%) | Industrial research<br>(basic level 50%) | Development works<br>(basic level 25%) |
| Research entity        | 100%                                 | 100%                                     | 100%                                   |
| Micro/Small enterprise | 100%*                                | 50+20+15 ( <b>max 80%</b> )              | 25+20+15 ( <b>max 60%</b> )            |
| Medium enterprise      | 100%*                                | 50+10+15 ( <b>max 75%</b> )              | 25+10+15 ( <b>max 50%</b> )            |
| Large enterprise       | 100%*                                | 50+15 ( <b>max 65%</b> )                 | 25+15 ( <b>max 40%</b> )               |

\* providing that enterprise can prove its research activities

**All funding granted to the Beneficiaries by the NCBR is awarded based on following national regulations:**

1. The Act of 30 April 2010 on the Principles of Financing Science, published in Journal of Laws No. 96 item 615, 2010;
2. The Act of 30 April 2010 on the National Centre for Research and Development, published in Journal of Laws No. 96 item 616, 2010;

3. The Regulation of the Minister of Science and Higher Education of 28 October 2010 on criteria and rules on granting state aid and “de minimis” aid by the National Centre for Research and Development, published in Journal of Laws item 1415, 2013, which is in line with the Commission Regulation (EC) No 800/2008 of 6 August 2008 declaring certain categories of aid compatible with the common market in application of Articles 87 and 88 of the Treaty (General block exemption Regulation)

#### **Any other information relevant to the joint call:**

1. Duration of projects

The duration of the project is 24 months, however NCBR allows for the extension to up to 30 months on the condition that *all* Funding Parties involved in said project agree on it.

The duration of the project is to be determined at the proposal stage. An extension of duration cannot be decided upon once the project is started.

2. National phase of the applying procedure

After international evaluation of full proposals and establishing the ranking list, Polish Participants from consortia recommended for funding will be invited to submit National Application Form (NAF).

All eligible entities, invited to submit NAF are obliged to use the rate of exchange of the European Central Bank dated on the day of opening of the call.

NAFs will be then examined by the interdisciplinary panel of experts for international projects for the appropriateness of funding requested. Based on recommendations of the panel, the Director of NCBR issues a funding decision/rejection decision.

Please note, that NCBR allows one team (international consortium) to submit more than one application.

All detailed information about financial rules and national procedure is available on the NCBR's homepage: <http://www.ncbr.gov.pl/programy-miedzynarodowe/era-net/eranet-rus-plus/>

#### **National Contact Point**

- **Ms. Jolanta Drożdż**
- National Centre for Research & Development
- Office: +48 22 39 07 106
- E-Mail: [jolanta.drozdz@ncbr.gov.pl](mailto:jolanta.drozdz@ncbr.gov.pl)

## ROMANIA

### Executive Agency for Higher Education, Research, Development and Innovation Funding (UEFISCDI)

The following call topics of the joint call are supported by UEFISCDI:

#### 1. Nanotechnologies

- 1.1 Advanced nano-sensors for environment and health
- 1.2 Novel functional nanomaterials based on design and modelling
- 1.3 Nanomaterials for efficient lighting

#### 2. Environment/Climate change

- 2.1 Increasing the reliability of regional climate projections: models and measurement
- 2.2 Environmental impact and risk of raw materials extraction and transportation
- 2.3 Extreme climate events and their impact on the environment

#### 3. S&T projects in Health

- 3.1 Molecular mechanisms of brain function and pathology
- 3.2 Regenerative medicine and biomaterials
- 3.3 Drug discovery for cancer, cardiovascular and infectious diseases

#### 4. Social Sciences and Humanities

- 4.1 Understanding conflict, identity, and memory: past and present
- 4.2 Demographic change, migration and migrants
- 4.3 Opportunities for and challenges to regional development and social cohesion

### Who can apply?

Eligible entities for funding are universities, public institutions, R&D national institutions, joint-stock companies, SME's and Large companies, NGOs (associations, foundations, etc.), others

### What types of costs are eligible for funding?

For the fundamental research activities, industrial research and experimental development, according to HG 134/2011, types of expenses are eligible, as follow:

- a. Staff costs;
- b. Logistics expenses
  - Capital expenditure;
  - Expenditure on stocks - supplies and inventory items;
  - Expenditure on services performed by third parties cannot exceed 15 % of the funding from the public budget. The subcontracted parts should not be core/substantial parts of the project work;
- c. Travel expenses;
- d. Overhead (indirect costs) is calculated as a percentage of direct costs: staff costs, logistics costs (excluding capital costs) and travel expenses. Indirect costs will not exceed 20 % of direct costs, excluding the cost for subcontracting.



1. Research organisations as defined in the Community Framework for State Aid for Research and Development and Innovation (2006/C 323.01) can apply for funding as Project Promoters and partners;
2. Enterprises (SMEs and large companies) as defined in the Community Framework for State Aid for Research and Development and Innovation (2006/C 323.01) can participate as Project Promoters and partners;
3. The Principal Investigator(PI) is employed full time in the Romanian project partner, with a permanent position, or with a fixed term contract covering at least the duration of the project, or has the agreement of the PP for his or her employment at least for the duration of the contract;
4. Receipt of proposal before the deadline date and time established in the call;
5. Minimum conditions (such as number of participants, eligible beneficiaries), as referred to in the call for proposals;
6. Completeness of the proposal, i.e. the presence of all requested administrative forms and the proposal description (the completeness of the information contained in the proposal will be for the experts to evaluate; the eligibility check applies only to the presence of the appropriate parts of the proposal);
7. Scope of the call: the content of the proposal must relate to the topics and funding scheme set out in the call. A proposal will only be deemed ineligible on grounds of “scope” in clear-cut cases;
8. It is forbidden to submit a proposal which seeks to fund activities which had already obtained funding from other sources;

#### **Upper funding limits for eligible costs?**

Maximum 200 000 € /project.

**Additional national eligibility criteria for the proposal beyond the general criteria in the Terms of Reference of the joint call.**

#### **National Contact Point**

- Executive Agency for Higher Education, Research, Development and Innovation Funding (UEFISCDI)
- **Marius Mitroi**
- Phone: +40 21 302 38 80
- E-Mail : [marius.mitroi@uefiscdi.ro](mailto:marius.mitroi@uefiscdi.ro)
  
- **Domnica Cotet**
- Phone: +40 21 302 38 80
- E-Mail : [domnica.cotet@uefiscdi.ro](mailto:domnica.cotet@uefiscdi.ro)

## RUSSIAN FEDERATION

### Far Eastern Branch of Russian Academy of Sciences (FEB RAS)

The following call topics of the joint call are supported by “Funding Institution”:

1. Nanotechnologies
2. Environment/Climate change
3. S&T projects in Health
4. Social Sciences and Humanities

#### Who can apply?

Research proposals may be submitted by scientific organizations of Far Eastern Branch of Russian Academy of Sciences under supervision of Federal Agency of Scientific Organizations

#### What types of costs are eligible for funding?

##### *I. Direct costs*

##### a. Costs of personnel

Grants towards the costs of scientific staff, administrators and/or post-graduate student assistants, required in connection with the proposed project can be provided.

##### b. Costs of durable equipment

Funding for low-cost equipment can be provided.

##### c. Consumables and supplies

Any consumables necessary for the implementation of the project, including consumables belonging to the basic facilities, may be considered as direct eligible costs. Consumables are only eligible costs under the project if bought after the start date of the project.

##### d. Subcontracting

The general rule should be that applicants shall implement the project and shall have the necessary resources to that end. However, it should be accepted that as an exception certain parts that are not "core" parts of the project may be subcontracted. Examples for minor services to be subcontracted are rent of locations, translation costs, costs for technical equipment in the context of the organisation of events or printing services etc.

##### e. Other costs

###### *Events*

Funding can be provided for the organization of workshops in Russia. Grants can be provided towards expenses such as the accommodation of the guests, transfers within Russia and rental of the venue. Once the accommodation and meals for the guests are covered, no additional per diem allowances will be provided.

###### *Travel*

As a general rule, travel costs (economy class) to Program Owner's countries and within Russia

are eligible.

*Subsistence allowance*

The subsistence allowance per day including accommodation costs and meals in the context of the business trips should be applied in accordance with the budget rules of Russian Federation.

**II. Indirect Costs: Overheads**

Overhead costs are eligible within the limit of 20% of total project funding.

**Additional national eligibility criteria for the proposal beyond the general criteria in the Terms of Reference of the joint call**

Proposals should be submitted to FEB RAS in Russian language electronically through the system “FEB RAS Grant”:

<http://grant.febras.ru>

Moreover, two proposals hardcopy in Russian language must be sent to FEB RAS Presidium to the address of National Contact Point Marina Shtets, 50, Svetlanskaya St., Vladivostok, 690990, Russia.

**Upper funding limits for eligible costs?**

Upper funding limit should be 100% of the eligible costs.

Russian applicants from FEB RAS in joint research consortia can receive up to €60,000 of funding per project.

**National Contact Point**

- A. Institution: Far Eastern Branch of Russian Academy of Sciences
- B. Contact persons

*For scientific issues*

- Yury Kulchin
- Presidium of the Far Eastern Branch of the Russian Academy of Sciences (FEB RAS)
- E-Mail: [kulchin@hq.febras.ru](mailto:kulchin@hq.febras.ru)
- Phone/Fax : + 7-423-2268890

*For administrative issues:*

- Marina Shtets
- Presidium of the Far Eastern Branch of the Russian Academy of Sciences (FEB RAS)
- E-Mail: [ompp@hq.febras.ru](mailto:ompp@hq.febras.ru)
- Phone/Fax : + 7-423-2268939

## RUSSIAN FEDERATION

### Russian Ministry of Education and Sciences (RMES)

**Applicants will be advised to check with the respective national Contact Point the eligibility as to who may apply and what costs are eligible before a consortium submits the complete application.**

#### **Who can apply?**

Research proposals may be submitted by Russian legal entities (based in the Russian Federation):

- Any Russian legal entity conducting research that was invited to submit full proposal to the second stage of the S&T call

#### **What types of costs are eligible for funding?**

##### I Direct costs

###### a. Costs of personnel

Grants towards the costs of scientific staff, including young scientists and administrators and/or student assistants, required in connection with the proposed project can be provided.

###### b. Costs of durable equipment

Funding for equipment can be provided. However, this only includes equipment that does not form part of an institution's basic equipment (i.e. no laptops, notebooks or equipment which is regularly used by the applicants).

###### c. Consumables and supplies

Any consumables necessary for the project implementation (expendable items, devices, business needs, transportation expenses, literature, rents, orders, etc.) may be considered as direct costs. Consumables are only eligible costs if bought after the start date of the project. Consumables belonging to the basic facilities of an applicant are not eligible.

###### d. Subcontracting

The general rule should be that applicants shall implement the project and shall have the necessary resources to that end. However, it should be accepted that as an exception certain parts that are not "core" parts of the project may be subcontracted. Subcontracting should be justified in the proposal.

Travel and subsistence allowance: The subsistence and daily allowance per full day are regulated by the Regulations on peculiarities of sending employees on business trips approved by the Government Decree N 749 including amendments made on March 25, May 14, 2013. Travel costs are eligible (economy class).

###### Costs for filing and obtaining patents

Necessary expenses for patent applications (patent lawyers and patent office fees) that are needed to fulfill the funding objectives can be covered, provided that the expenses are incurred during the funding period and that they have not already been or are expected to be covered by other public funding providers.

##### II. Indirect Costs: Overheads

Overhead costs are eligible depending on the type of institution as well as on the respective accounting system.

**Additional national eligibility criteria for the proposal beyond the general criteria in the Terms of Reference of the Single Joint Call**

The participants from Russia, applying for the funding from MON, can receive up to 50% of the total funding of the joint project. One of the selection criteria for funding is correlation of the proposed amount of work and the requested funding. Funding is provided in Russian rubles.

**Upper funding limit**

Upper funding limit: **13.5 mln RUB/project**

Applicants that were invited to the second stage of the S&T call and would like to request funding from MON have to submit national application within the Federal Target Program “Research and development in Priority Fields of the S&T Complex of Russia 2014-2020” (<http://www.fcpir.ru/>). National proposals are submitted by the Russian partner according to rules and requirements of the Federal Target Program. Applications should be submitted both electronically by completing online forms and posting scanned copies of documents on the portal <http://konkurs2014.fcpir.ru> and as a hard copy to be delivered personally (Brysovpereulok 21, entrance 1, room 110) or by post (Tverskaya 11, 125993 Moscow). Funding decision is also taken based upon the applicant’s compliance with national rules and requirements.

**Further information relevant to NATIONAL applicants**

**Contact Point(s)**

Ms. Irina Kuklina (ICISTE)

Email: [ikouklina@mail.ru](mailto:ikouklina@mail.ru); [kuklina@mniop.ru](mailto:kuklina@mniop.ru)

Phone: +7 495 660 34 29

Ms. Maria Balashova (ICISTE)

Email: [balashova@mniop.ru](mailto:balashova@mniop.ru)

Phone: +7 495 660 34 29

## **RUSSIAN FEDERATION**

### **Russian Foundation for Basic Research (RFBR)**

**The following call topics of the joint call are supported by “Funding Institution”:**

#### 1. Nanotechnologies

- 1.1 Advanced nano-sensors for environment and health
- 1.2 Novel functional nanomaterials based on design and modelling
- 1.3 Nanomaterials for efficient lighting

#### 2. Environment/Climate change

- 2.1 Increasing the reliability of regional climate projections: models and measurement
- 2.2 Environmental impact and risk of raw materials extraction and transportation
- 2.3 Extreme climate events and their impact on the environment

#### 3. S&T projects in Health

- 3.1 Molecular mechanisms of brain function and pathology
- 3.2 Regenerative medicine and biomaterials
- 3.3 Drug discovery for cancer, cardiovascular and infectious diseases

### **Who can apply?**

- 1. Any Russian researcher
- 2. Foreign researcher working in Russian research institution, holding Russian Federation residence permit and accredited in tax authority and superannuation fund.

### **What types of costs are eligible for funding?**

Please use link below for detailed eligible costs list:

<http://www.rfbr.ru/rffi/getimage/%D0%9F%D0%B5%D1%80%D0%B5%D1%87%D0%B5%D0%BD%D1%8C+%D0%B4%D0%BE%D0%BF%D1%83%D1%81%D0%BA%D0%B0%D0%B5%D0%BC%D1%8B%D1%85+%D0%A0%D0%A4%D0%A4%D0%98+%D1%80%D0%B0%D1%81%D1%85%D0%BE%D0%B4%D0%BE%D0%B2+%D0%B3%D1%80%D0%B0%D0%BD%D1%82%D0%B0.pdf?objectId=1896479>

### **Additional national eligibility criteria for the proposal beyond the general criteria in the Terms of Reference of the joint call**

Despite Russian researchers can present themselves in a project proposal as a multiple private investigators, they will be treated by RFBR as one team only. In case the project will be among selected for funding, RFBR grant award will be managed within one project through only one Russian legal entity. Therefore Russian researchers should agree beforehand through which legal institution they will manage grant award and appoint a person to be responsible for managing grant award and acting on behalf of team in negotiations with RFBR. The total number of Russian researcher in a project should not exceed 10 people.

### **Upper funding limits for eligible costs?**

Taking in mind the total budget foreseen and number of research projects to be supported, RFBR can anticipate an annual grant support for single project to be aiming an amount of 1,5 mln RUR.

### **Any other information relevant to the joint call**

RFBR can fund projects in years 2016, 2017, 2018. Therefore when duration of the project is foreseen 25-30 months, those months can only be planned for year 2018 in order to be funded within the Call. In this case reporting of the project will be treated as for three-year project with final report to be presented to RFBR in accordance with rules for reporting year 2018.

Please visit national call page at [www.rfbr.ru](http://www.rfbr.ru) for detailed information.

### **National Contact Points**

- Denis Rudik
- Russian Foundation for Basic Research
- Phone: +74959526098
- E-Mail: [rudik@rfbr.ru](mailto:rudik@rfbr.ru)
  
- Yaroslav Sorokotyaga
- Russian Foundation for Basic Research
- Phone: +74959525682
- E-Mail: [ysorokot@rfbr.ru](mailto:ysorokot@rfbr.ru)

## **RUSSIAN FEDERATION**

### **Russian Foundation for Humanities (RFH)**

**Within the ERA.Net RUS PLUS Joint Call for S&T Projects the RFH will consider for funding the following thematic fields:**

1. Social sciences and humanities
  - 1.1 Understanding conflict, identity, and memory: past and present
  - 1.2 Demographic change, migration and migrants
  - 1.3 Opportunities for and challenges to regional development and social cohesion.

#### **Who can apply?**

The research projects of the Russian participants which reside and work in the Russian Federation can be allowed to compete – regardless of their age, academic rank, degree or occupation and subordination of the scientific organization, in which the author (author group) of the project is employed. The organization, which will be designated as the venue for the project in Russia, must have "scientific research" expressly included in the scope of activities determined by its Charter.

#### **What types of costs are eligible for funding?**

- a. Costs of personnel

Grants towards the costs of scientific staff, administrators and/or student assistants, required in connection with the proposed project can be provided.

- b. Consumables and supplies

Any consumables necessary for the implementation of the project may be considered as direct eligible costs. Consumables are only eligible costs under the project if bought after the start date of the project. Consumables belonging to the basic facilities of an applicant are not eligible.

- c. Subcontracting

The general rule should be that applicants shall implement the project and shall have the necessary resources to that end. However, it should be accepted that as an exception certain parts that are not "core" parts of the project may be subcontracted. Examples for minor services to be subcontracted are rent of locations, translation costs, costs for technical equipment in the context of the organisation of events or printing services etc.

- d. Other costs

Travel and Subsistence allowance - financed in accordance with the norms approved by the Ministry of Finance of the Russian Federation.



**Additional national eligibility criteria for the proposal beyond the general criteria in the Terms of Reference of the Joint Call**

One of the conditions of participation is a submission of application by the Russian participants to the Russian Foundation for Humanities. Conditions of registration and timing you can see on the website [www.rfh.ru](http://www.rfh.ru).

Despite Russian researchers can present themselves in a project proposal as a multiple private investigators, they will be treated by RFH as one team only. In case the project will be among selected for funding, RFH grant award will be managed within one project through only one Russian legal entity. Therefore Russian researchers should agree beforehand through which legal institution they will manage grant award and appoint a person to be responsible for managing grant award and acting on behalf of team in negotiations with RFH. The total number of Russian researcher in a project should not exceed 10 people.

The legal entity through which the project will be carried out in Russia has a right to take up to 10 % of the grant for the organizational, technical and financial support of the project.

**Upper funding limits for eligible costs?**

Taking in mind the total budget foreseen and number of research projects to be supported, RFH can anticipate an annual grant support for single project to be aiming an amount up to 750 000 RUR.

**Any other information relevant to the joint call**

Please visit national call page at <http://www.rfh.ru/> for detailed information.

**National Contact Points**

- **Yury Vorotnikov**
- Russian Foundation for Humanities
- E-Mail: [infor@rfh.ru](mailto:infor@rfh.ru)
- +7 499 702 85 52

- **Yana Smirnova**
- Russian Foundation for Humanities
- E-Mail: [smirnova@rfh.ru](mailto:smirnova@rfh.ru)
- +7 499 702 85 72

## RUSSIAN FEDERATION

### Ural Branch of the Russian Academy of Sciences (UB RAS)

Applicants will be advised to check with the respective national Contact Point the eligibility as to who may apply and what costs are eligible before submitting the complete application.

#### Who can apply?

Research proposals may be submitted by scientific organizations that are under scientific supervision of Ural Branch of RAS.

#### What types of costs are eligible for funding?

##### *I. Eligible costs as direct costs*

###### a. Costs of personnel

Grants towards the costs of scientific staff, administrators and/or post-graduate student assistants, required in connection with the proposed project can be provided.

###### b. Costs of durable equipment

Funding for low-cost equipment can be provided.

###### c. Consumables and supplies

Any consumables necessary for the implementation of the project, including consumables belonging to the basic facilities, may be considered as direct eligible costs. Consumables are only eligible costs under the project if bought after the start date of the project.

###### d. Subcontracting

The general rule should be that applicants shall implement the project and shall have the necessary resources to that end. However, it should be accepted that as an exception certain parts that are not "core" parts of the project may be subcontracted. Examples for minor services to be subcontracted are rent of locations, translation costs, costs for technical equipment in the context of the organization of events or printing services etc.

###### e. Other costs:

###### *Events*

Funding can be provided for the organization of workshops in Russia. Grants can be provided towards expenses such as the accommodation of the guests, transfers within Russia and rental of the venue. Once the accommodation and meals for the guests are covered, no additional per diem allowances will be provided.

###### *Travel*

As a general rule, travel costs (economy class) to Program Owner's countries and within Russia are eligible.

###### *Subsistence allowance*

The subsistence allowance per day including accommodation costs and meals in the context of

the business trips should be applied in accordance with the budget rules of Russian Federation.

## ***II. Indirect Costs: Overheads***

Overhead costs are eligible within the limit of 20% of total project funding.

### **Additional national eligibility criteria for the proposal beyond the general criteria in the Terms of Reference of the Joint Call**

All the proposals submitted to SJC that have the UB RAS as a FP must be reviewed and preliminary approved by the Expert Council of UB RAS. The approval sheet is to be attached to the submitted proposal and could be considered by the S&T Council.

#### **Upper funding limit**

Upper funding limit should be 100% of the eligible costs.  
Russian applicants in joint research consortia can receive up to €25,000 of funding per project.

Applicants whose full proposals have been evaluated positively will be invited to submit – in coordination with the designated project coordinator – a formal application for funding on which a decision will be taken after a final evaluation.

### **Further information relevant to NATIONAL applicants**

- **Contact Point**  
Ural Branch of the Russian Academy of Sciences  
Department of foreign relations  
Pervomayskaya str., 91  
620990, Ekaterinburg, Russia  
E-Mail: [fd@prm.uran.ru](mailto:fd@prm.uran.ru)
- **Mr. Nikolay Mushnikov**  
Phone: +7 343 374-59-34  
E-Mail: [mushnikov@prm.uran.ru](mailto:mushnikov@prm.uran.ru)
- **Mr. Alexander Sandakov**  
Phone: +7 343 374-42-71  
E-Mail: [avs@prm.uran.ru](mailto:avs@prm.uran.ru)
- **Ms. Victoria Zakharova**  
Phone: +7 343 362-33-23  
E-Mail: [vika@prm.uran.ru](mailto:vika@prm.uran.ru)

## **SLOVAK REPUBLIC**

### **Slovak Academy of Sciences (SAS)**

**The following call topics of the joint call are supported by “Funding Institution”:**

#### **1. Nanotechnologies**

- 1.1 Advanced nano-sensors for environment and health
- 1.2 Novel functional nanomaterials based on design and modelling
- 1.3 Nanomaterials for efficient lighting

#### **2. Environment/Climate change**

- 2.1 Increasing the reliability of regional climate projections: models and measurement
- 2.2 Environmental impact and risk of raw materials extraction and transportation
- 2.3 Extreme climate events and their impact on the environment

#### **3. S&T projects in Health**

- 3.1 Molecular mechanisms of brain function and pathology
- 3.2 Regenerative medicine and biomaterials
- 3.3 Drug discovery for cancer, cardiovascular and infectious diseases

#### **4. Social Sciences and Humanities**

- 4.1 Understanding conflict, identity, and memory: past and present
- 4.2 Demographic change, migration and migrants
- 4.3 Opportunities for and challenges to regional development and social cohesion

### **Who can apply?**

Only research Institutes of Slovak Academy of Sciences are eligible organisations for funding (up to 100%). Applicants from other Slovak R&D centers have to cover the project costs from their own sources (Letter of Commitment). The teams outside of SAS can be consortium members but not the coordinator of the consortium

### **What types of funding are eligible for Slovak researchers?**

#### **1. Direct costs**

##### **1.1 Personal costs**

- must accurately reflect the work on the project
- may be used only to cover the costs (including health and social insurance) related to work agreements performed outside of employment

- maximum of 15 % of all direct costs

## 1,2 Material costs and expenditures

- Consumables*: minor equipment and instruments, small-scale office and laboratory material (no basic equipment of the workplace; essential computer equipment is exception)
- costs and expenditures for services directly related to the project*: contracts, consultations, publication of project results, conference fees
- travel costs and living expenses*: limits for travel costs and daily subsistence allowance vary depending on destination country (pursuant to Slovak Act. 283/2002 Col. Of Laws on travel reimbursement)

## 2. Indirect Costs

- administration, energy and infrastructure
- maximum of 20% of all direct costs

### **Additional national eligibility criteria for the proposal beyond the general criteria in the Terms of Reference of the joint call**

**Eligible costs as defined in the ToR of the joint call of ERA.Net RUS Plus text can be applied unless they are in conflict with the SAS Financial Rules for awarding grants for research projects. Priority is given to the SAS Financial Rules.**

### **Upper funding limits for eligible costs?**

Maximum amount to 25.000 € per project/year for the funding period of 2 years. The funding can be extended up to 30 months only on the condition that all respective FPs involved allow and agree it.

### **Any other information relevant to the joint call**

**National phase:** Submission of the proposal at the national level will be required in parallel to the international evaluation. The submission will be carried out once the international evaluation and the ranking list have been performed and endorsed by the ERA.Net RUS Plus Call Steering Committee (CSC) and the Slovak project partner has been informed by the project consortium coordinator and invited by SAS to submit the proposal to it (Formular MVTIS). The Presidium of SAS makes the final decision for funding of selected projects.

### **Further guidance:**

- Web site: <http://www.sav.sk>
- 133 Act of February 19, 2002 on the Slovak Academy of Sciences,
- Financial rules for awarding SAS grants for research projects in frame of ERA.Net
- Programme for research institutes of SAS

- Principles of allocation of funds for the institutes of SAS to support projects in the field of international scientific cooperation

**For more information please contact the NCP**

**National Contact Point**

- Slovak Academy of Sciences,  
Štefánikova 49  
814 38 - Bratislava, Slovak Republic
- **Jan BARANCIK, PhD.**  
Head  
International Cooperation Department
- [Slovak Academy of Sciences SAS](#)
- Phone +421 2 5751 0137
- E-Mail : [barancik@up.upsav.sk](mailto:barancik@up.upsav.sk)

## **SWITZERLAND**

### **Swiss National Science Foundation**

**The following call topics of the joint call are supported by “Funding Institution”:**

1. Call topic: Nanotechnologies
2. Call topic: Environment / Climate change
3. Call topic: S&T projects in Health
4. Call topic: Social Sciences and Humanities

### **Who can apply?**

Proposals may be submitted by natural persons employed at a Swiss university, a Swiss university of applied sciences or an institution of the ETH Domain.

The SNSF Funding Regulations and the General Implementation Regulations for the Funding Regulations are applicable where not stated otherwise. The usual eligibility criteria of the SNSF are applied.

### **What types of costs are eligible for funding?**

- a. Personnel costs (for scientific and technical project personnel, but not for Principal Investigator);
- b. Research material and small-scale research equipment;
- c. Travel costs and living expenses (including for short scientific stays in Russia);
- d. Research costs for SMEs are not eligible;
- e. Indirect costs are not eligible.

### **Additional national eligibility criteria for the proposal beyond the general criteria in the Terms of Reference of the joint call**

The proposals don't have to be submitted in parallel to the SNSF.

### **Upper funding limits for eligible costs?**

Maximum contribution per project for the Swiss part: € 185.000

**Any other information relevant to the joint call**

None

**National Contact Point**

- **Evelyne Glaetli**
- Swiss National Science Foundation
- Phone: 0041 31 308 22 39
- E-Mail: [evelyne.glaetli@snf.ch](mailto:evelyne.glaetli@snf.ch)



## TURKEY

### The Scientific and Technological Research Council of Turkey (TUBITAK)

In the frame of the Science and Technology Call, the applicants from Turkey are obliged to apply to the following support programme of TÜBİTAK:

- ARDEB 1001 Research Projects Programme (The Support Programme for Scientific and Technological Research Projects)

This application is meant to be made during the full proposal stage of the ERA.Net RUS PLUS S&T Call.

#### Who can apply?

##### *I. Eligible applicants for the ARDEB 1001 Research Projects Programme:*

Applicants may be from universities (public and private), R&D institutes, public and private corporations.

Project personnel requirements:

- Principal Investigator, Researchers and Advisers:
- University personnel should have a PhD degree.
- Those working in a public institution or a private corporation should have an undergraduate diploma.
- The Principal Investigator should be the permanent staff of the organization making the project proposal.
- The principal investigator and the researchers (except for the foreign researchers) should be residing in Turkey. Foreigners may take part in projects as principal investigators/researchers provided that they are employed in an entity within the boundaries of Turkey.

A researcher should have a contribution of at least 10% of the project workload.

An adviser is allowed if the project requires special expertise on a specific subject. The number of advisers in a project is limited to the number of specific subjects in the project. The role of adviser in the project should be explained in detail in the project proposal.

##### *II. Who Cannot Be A Principal Investigator?*

University presidents and vice presidents, surgeons general, general secretaries, general managers or department heads in governmental agencies are not allowed to be principal investigators in the case that they occupy such positions at the time of application; similarly, the members of the executive committee and advisory board of TÜBİTAK research grant groups cannot be principal investigators in projects in the case that they occupy such positions at the time of application, however, they can take part in projects as researchers in a maximum of two projects.

## What types of funding are eligible for Turkish researchers?

### I. Eligible direct costs

#### a. Eligible direct costs for ARDEB 1001 Research Projects Programme

The annual budget limit for research projects is 120,000 TL (approx. 40.000 Euros). This includes the scholarship payments; however it excludes payments to the PI, Co-PI's and overhead costs. The total funding will not exceed 100 % of the eligible costs.

Eligible types of funding under this programme are limited to personnel costs (scholarships), travel & subsistence, equipment and materials. Please see below for a detailed description of each item. Projects that involve building infrastructure are not funded.

#### b. Research material and small-scale research equipment

There is no applicable limit to material and equipment purchase as long as the overall project budget is in conformity with the rules laid down above in “*Art. 1.1. Eligible direct costs for ARDEB 1001 Research Projects Programme*”.

#### c. Personnel costs

Personnel costs include only the scholarships. The scholarships for master/PhD students and post-doc researchers are as follows:

If the scholar is not employed for a certain fee:

|                         |                |
|-------------------------|----------------|
| B.Sc./B.A. Students     | 500 TL/month   |
| M.Sc./M.A. Students     | 1500 TL/month  |
| PhD Student/Researchers | 1800 TL/month  |
| Post-doc Researchers    | 2.250 TL/month |

If the scholar is employed:

|                         |              |
|-------------------------|--------------|
| M.Sc./M.A. Students     | 400 TL/month |
| PhD Student/Researchers | 500 TL/month |

Total monthly budget for scholarships (personnel costs) should not exceed 4,800 TL.

#### d. Travel costs

Travels in business class are not accepted.

#### e. Living expenses

For the travel of Turkish researchers, the amount of the allowances varies according to the

destination country and the conditions of the personnel, which is described in the Travel Expense Law No.6245. Please check TUBITAK website for the daily allowances for the Turkish researchers:

[http://www.tubitak.gov.tr/tubitak\\_content\\_files/seyahat/6245Kanunu.doc](http://www.tubitak.gov.tr/tubitak_content_files/seyahat/6245Kanunu.doc)

f. Expenses for the organisation of small scientific events

The costs pertaining to organization of small scientific events shall be covered in accordance with the following rules:

In addition to the budget allocated for the participation in domestic/international scientific events, the maximum allowed budget for travel expenses of scientific events (scientific visit, networking etc.) in Turkey or abroad is limited to 15.000 TL/year.

As for the events in the form of meeting and workshops aiming at disseminating the results of the project outputs, the maximum budget is limited with 10.000 TL/year.

**Is additional/parallel application requested to the funding organisation?**

The applications made simultaneously to the TUBITAK ARDEB 1001 Research Projects Programme will be checked only in terms of eligibility and budgetary regulations. The scientific merit of the projects will only be evaluated by the independent peer-reviewers in the frame of the ERA.Net RUS PLUS project.

The deadline for applying to the TUBITAK programmes is same with the deadline of submission of full proposals to the ERA.Net RUS PLUS Central Call Secretariat.

PLEASE CHECK TUBITAK WEBSITE AND CONSULT TUBITAK PERSONNEL FOR THE PROGRAMME CONDITIONS!

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